

# Preferential Query Answering in the Semantic Web with Possibilistic Networks

**Stefan Borgwardt**

Faculty of Computer Science  
 Technische Universität Dresden, Germany  
 stefan.borgwardt@tu-dresden.de

**Bettina Fazzinga**

ICAR  
 National Research Council (CNR), Italy  
 fazzinga@icar.cnr.it

**Thomas Lukasiewicz, Akanksha Shrivastava, Oana Tifrea-Marciuska**

Department of Computer Science, University of Oxford, UK  
 {thomas.lukasiewicz, oana.tifrea}@cs.ox.ac.uk, akanksha.shrivstv@gmail.com

## Abstract

In this paper, we explore how ontological knowledge expressed via existential rules can be combined with possibilistic networks (i) to represent qualitative preferences along with domain knowledge, and (ii) to realize preference-based answering of conjunctive queries (CQs). We call these combinations ontological possibilistic networks (OP-nets). We define skyline and  $k$ -rank answers to CQs under preferences and provide complexity (including data tractability) results for deciding consistency and CQ skyline membership for OP-nets. We show that our formalism has a lower complexity than a similar existing formalism.

## 1 Introduction

The abundance of information on the Web requires new personalized information filtering techniques that are able to retrieve resources that best fit users' interests and preferences. These systems should also manage the rapid change of users' preferences and have means for coping with trust and uncertainty on the Web. Moreover, the Web is evolving at an increasing pace towards the so-called Social Semantic Web (or Web 3.0), where classical linked information lives together with ontological knowledge and social interactions of users. While the former may allow for more precise and rich results in search and query answering tasks, the latter can be used to enrich the user profile, and it paves the way to more sophisticated personalized access to information. This requires new techniques for ranking search results, fully exploiting ontological and user-centered data, i.e., user preferences.

Conditional preferences are statements of the form “in the context of  $c$ ,  $a$  is preferred over  $b$ ”, denoted  $c: a \succ b$  [Ben Amor *et al.*, 2014; Boutilier *et al.*, 2004; Wilson, 2004]. Two preference formalisms that allow for representing such preferences are *possibilistic networks* and *CP-nets*.

**Example 1** Bob wants to rent a car and (i) he prefers a new car over an old one, (ii) given he has a new car, he prefers it to be black over not black, and (iii) if he has an old car,

he prefers it to be colorful over being black. We have two variables for car type (new ( $n$ ) or old ( $o$ )) and car color (black ( $b$ ) or colorful ( $c$ )),  $T$  and  $C$ , respectively, such that  $Dom(T) = \{n, o\}$  and  $Dom(C) = \{b, c\}$ . Bob's preferences can be encoded as  $\top: n \succ o$ ,  $n: b \succ c$ , and  $o: c \succ b$ . In CP-nets [Boutilier *et al.*, 2004], we have the following ordering of outcomes:  $nb \succ nc \succ oc \succ ob$ . That is, a new and colorful car is preferred over an old and colorful one, which is not a realistic representation of the given preferences. A more desirable order of outcomes for Bob would be  $nb \succ oc \succ nc \succ ob$ , which can be induced in possibilistic networks with an appropriate preference weighting in the possibility distribution. ■

In this paper, we propose a novel language for expressing preferences over the Web 3.0 using possibilistic networks. It has lower complexity compared to a similar existing formalism called OCP-theories [Di Noia *et al.*, 2015], which are an integration of Datalog+/- with CP-theories [Wilson, 2004]. This is because deciding dominance in possibilistic networks can be done in polynomial time, while it is PSPACE-complete in CP-theories. Furthermore, every possibilistic network encodes a unique (numerical) ranking on the outcomes, while CP-theories encode a set of (qualitative) total orders on the outcomes. Additionally, our framework allows to specify the relative importance of preferences [Ben Amor *et al.*, 2014].

We choose existential rules in Datalog+/- as ontology language for their intuitive nature, expressive power for rule-based knowledge bases, and the capability of performing query answering. Possibilistic networks are also a simple and natural way of representing conditional preferences and obtaining rankings on outcomes, and can be easily learned from data [Borgelt and Kruse, 2003]. The integration between the two formalisms is tight, as possibilistic network outcomes are constrained by the ontology, but they also dictate the ranking of answers to a query. The main contributions are as follows:

- We introduce a novel formalism, called ontological possibilistic networks (OP-nets), combining Datalog+/- with possibilistic networks, to encode preferences over atoms.
- We define skyline and  $k$ -rank answers for conjunctive queries (CQs) relative to the preferences encoded in OP-nets, and describe how to compute such answers.

- We analyze the complexity of deciding consistency and skyline membership of answers to CQs, for different types of complexity, and provide results for Datalog+/- languages. We also obtain several tractability results. Notably, these results hold for any preference formalism where dominance between two outcomes can be decided in polynomial time.

Due to space limitations, detailed proofs of all results in this paper will be given in an extended paper.

## 2 Preliminaries

We first recall the basics on Datalog+/- [Calì *et al.*, 2012a] and on possibilistic networks.

### 2.1 Datalog+/-

**Databases.** Let  $\Delta$  be a set of *constants*,  $\Delta_N$  a set of *labeled nulls*, and  $\mathcal{V}$  a set of (*regular*) *variables*. A *term*  $t$  is a constant, null, or variable. An *atom* has the form  $p(t_1, \dots, t_n)$ , where  $p$  is an  $n$ -ary predicate, and  $t_1, \dots, t_n$  are terms. Conjunctions of atoms are often identified with the sets of their atoms. An *instance*  $I$  is a (possibly infinite) set of atoms  $p(\mathbf{t})$ , where  $\mathbf{t}$  is a tuple of constants and nulls. A *database*  $D$  is a finite instance that contains only constants. A *homomorphism* is a substitution  $h: \Delta \cup \Delta_N \cup \mathcal{V} \rightarrow \Delta \cup \Delta_N \cup \mathcal{V}$  that is the identity on  $\Delta$ . We assume that the reader is familiar with *conjunctive queries* (CQs). The set of answers to a CQ  $q$  over an instance  $I$  is denoted  $q(I)$ . A Boolean CQ (BCQ)  $q$  has a positive answer over  $I$ , denoted  $I \models q$ , if  $q(I) \neq \emptyset$ .

**Dependencies.** A *tuple-generating dependency* (TGD) (or *existential rule*)  $\sigma$  is a first-order formula  $\forall \mathbf{X} \forall \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \rightarrow \exists \mathbf{Z} p(\mathbf{X}, \mathbf{Z})$ , where  $\mathbf{X} \cup \mathbf{Y} \cup \mathbf{Z} \subseteq \mathcal{V}$ ,  $\varphi(\mathbf{X}, \mathbf{Y})$  is a conjunction of atoms, and  $p(\mathbf{X}, \mathbf{Z})$  is an atom;  $\varphi(\mathbf{X}, \mathbf{Y})$  is the *body* of  $\sigma$ , denoted  $body(\sigma)$ , while  $p(\mathbf{X}, \mathbf{Z})$  is the *head* of  $\sigma$ , denoted  $head(\sigma)$ . For clarity, we consider single-atom-head TGDs; however, our results can be extended to TGDs with a conjunction of atoms in the head. An instance  $I$  satisfies  $\sigma$ , written  $I \models \sigma$ , if the following holds: for all homomorphisms  $h$  such that  $h(body(\sigma)) \subseteq I$ , there exists  $h' \supseteq h|_{\mathbf{X} \cup \mathbf{Y}}$ , where  $h|_{\mathbf{X} \cup \mathbf{Y}}$  is the restriction of  $h$  to  $\mathbf{X} \cup \mathbf{Y}$ , such that  $h'(p(\mathbf{X}, \mathbf{Z})) \in I$ . A *negative constraint* (NC)  $\nu$  is a first-order formula of the form  $\forall \mathbf{X} \varphi(\mathbf{X}) \rightarrow \perp$ , where  $\mathbf{X} \subseteq \mathcal{V}$ ,  $\varphi(\mathbf{X})$  is a conjunction of atoms and is called the *body* of  $\nu$ , denoted  $body(\nu)$ , and  $\perp$  denotes the truth constant *false*. An instance  $I$  satisfies  $\nu$ , written  $I \models \nu$ , if there is no homomorphism  $h$  such that  $h(body(\nu)) \subseteq I$ . Given a set  $\Sigma$  of TGDs and NCs,  $I$  satisfies  $\Sigma$ , written  $I \models \Sigma$ , if  $I$  satisfies each TGD and NC of  $\Sigma$ .

**Datalog+/- Ontologies.** A *Datalog+/- ontology*  $O = (D, \Sigma)$ , where  $\Sigma = \Sigma_T \cup \Sigma_{NC}$ , consists of a finite database  $D$  over  $\Delta$ , a finite set  $\Sigma_T$  of TGDs, and a finite set  $\Sigma_{NC}$  of NCs. The set of *models* of  $D$  and  $\Sigma$ , denoted  $mods(D, \Sigma)$ , contains all instances  $I$  with  $I \supseteq D$  and  $I \models \Sigma$ . The ontology is *consistent* if this set is not empty.

**Example 2** Consider the database  $D$  in Table 1, modeling the domain of an online car booking system. Moreover,

$$\begin{aligned} \Sigma = \{ & offer(V, P, S) \rightarrow \exists C, F, T specs(S, C, F, T), \\ & offer(V, P, S) \rightarrow \exists R vendor(V, R), \\ & specs(S, C, F, T) \rightarrow color(C) \wedge type(T), \end{aligned}$$

Table 1: Database  $D$ .

	<i>id</i>	<i>color</i>	<i>feature</i>	<i>type</i>
$t_1$	$s_1$	b	$f_1$	o
$t_2$	$s_2$	c	$f_2$	n
$t_3$	$s_3$	c	$f_2$	o

*specs*

	<i>id</i>	<i>name</i>
$t_7$	$f_1$	ac
$t_8$	$f_2$	map
$t_9$	$f_3$	cd

*feature*

	<i>vendor</i>	<i>price</i>	<i>specs</i>
$t_4$	$v_1$	30	$s_1$
$t_5$	$v_1$	40	$s_2$
$t_6$	$v_2$	50	$s_3$

*offer*

	<i>id</i>	<i>review</i>
$t_{10}$	$v_1$	p
$t_{11}$	$v_2$	n

*vendor*

$$\begin{aligned} specs(S, C, F, T) &\rightarrow \exists N feature(F, N), \\ offer(V, P_1, S) \wedge offer(V, P_2, S) &\rightarrow P_1 = P_2 \end{aligned}$$

says that every offer must have a specification and a vendor. It also says that there cannot be two equivalent offers from the same company with different prices (represented via a special *equality-generating dependency* (EGD), which can be encoded as an NC [Calì *et al.*, 2012a]). We denote by  $t_1$  the term  $specs(s_1, b, f_1, o)$  and by  $t_1$  the tuple  $(s_1, b, f_1, o)$ . ■

**Conjunctive Query Answering.** Given a Datalog+/- ontology  $O = (D, \Sigma)$ , we only consider answers that are true in *all* models of  $O$ . Formally, the set of *answers* to a CQ  $q$  w.r.t.  $D$  and  $\Sigma$  is  $ans(q, D, \Sigma) := \bigcap_{I \in mods(D, \Sigma)} \{\mathbf{a} \mid \mathbf{a} \in q(I)\}$ . The answer to a BCQ  $q$  is *positive*, denoted  $D \cup \Sigma \models q$ , if  $ans(q, D, \Sigma) \neq \emptyset$ . The problem of *CQ answering* is the following: given  $D, \Sigma$ , and  $q$  as above and a tuple of constants  $\mathbf{a}$ , decide whether  $\mathbf{a} \in ans(q, D, \Sigma)$ . Following Vardi's taxonomy (1982), the *combined complexity* of CQ answering is calculated by considering all the components, i.e., the database, the set of dependencies, and the query, as part of the input. The *bounded-arity combined* (*ba-combined*) *complexity* is calculated by assuming that the arity of the underlying schema is bounded by a constant. In description logics (DLs) [Bienvenu and Ortiz, 2015], the arity is always bounded by 2. The *fixed-program combined* (*fp-combined*) *complexity* is calculated by considering the set of TGDs and NCs as fixed. Finally, for *data complexity*, we take only the size of the database into account.

### 2.2 Possibilistic Networks

We now recall possibilistic networks from [Ben Amor *et al.*, 2014], which are a direct counterpart of Bayesian networks from probability theory, the main differences being that possibilities *maximize* (rather than *summarize*) over disjoint events (thus, in the *normalized* case, one often assumes that the maximum (rather than the sum) over all disjoint elementary events is 1), and we measure the degree of potential surprise of an event, as opposed to the degree of its likelihood.

**Syntax.** Let  $\mathcal{X}$  be a finite set of variables with pairwise disjoint, non-empty, finite *domains*  $Dom(X)$ ,  $X \in \mathcal{X}$ . A possibilistic network  $\Gamma$  defines a possibility distribution over  $\mathcal{X}$  using a combination of a graphical and a data component. The former is a directed acyclic graph (DAG)  $\mathcal{G} = (\mathcal{X}, \mathcal{E})$ , where  $\mathcal{E}$  is a set of edges encoding conditional (in)dependencies between variables. The data component associates a normalized

conditional possibility distribution  $\pi(X_i | pa(X_i))$  to each  $X_i \in \mathcal{X}$ , where  $pa(X_i)$  is the set of *parents* of  $X_i$  in  $\mathcal{G}$ . The joint distribution over  $\mathcal{X} = \{X_1, \dots, X_n\}$  is then given by the chain rule [Ben Amor *et al.*, 2014; Benferhat *et al.*, 2000]:

$$\pi(X_1, \dots, X_n) := \bigotimes_{i=1}^n \pi(X_i | pa(X_i)),$$

where  $\otimes$  denotes the product (resp., minimum) in a quantitative (resp., qualitative) setting.

**Semantics.** A *value*  $u$  for a set of variables  $U \subseteq \mathcal{X}$  assigns to each  $X \in U$  an element  $u(X) \in Dom(X)$ , and the set of all such values  $u$  is called the domain of  $U$ , denoted  $Dom(U)$ . The empty set has a single value, denoted  $\top$ . Observe that  $Dom(X)$  and  $Dom(\{X\})$  are isomorphic, and hence the notation is consistent. The values  $o \in Dom(\mathcal{X})$  are called *outcomes*. For two outcomes  $o, o'$ , we say that  $o$  *dominates*  $o'$  (in  $\Gamma$ ), denoted  $o \succ o'$ , if  $\pi(o) > \pi(o')$ .

**Encoding Conditional Preferences.** A *conditional preference* [Ben Amor *et al.*, 2014] has the form  $\varphi = u: x \succ x'$ , where  $u \in Dom(U_\varphi)$  for some  $U_\varphi \subseteq \mathcal{X}$ , and  $x, x' \in Dom(X_\varphi)$  for some  $X_\varphi \in \mathcal{X} - U_\varphi$ . The intention is that, given  $u$  and any  $t \in Dom(T_\varphi)$ , where  $T_\varphi = \mathcal{X} - U_\varphi - \{X_\varphi\}$ , we prefer  $x$  over  $x'$ . More formally, the outcome obtained from  $u, t$ , and  $x$  should dominate the one using  $x'$  instead. A *conditional preference theory*  $\mathcal{P}$  is a finite set of conditional preferences.

As long as there are no cyclic dependencies between variables or cyclic preferences over the same variable  $X$  under the same precondition  $u$ , one can encode a conditional preference theory into a possibilistic network [Ben Amor *et al.*, 2014]: The conditional preference  $\varphi$  from above induces several directed edges in the DAG of the possibilistic network, one from each  $X \in U_\varphi$  to  $X_\varphi$ . The conditional possibility measure must then be chosen such that  $\pi(x|u) > \pi(x'|u)$ .

**Example 3** Consider again the preference theory from Example 1:  $\mathcal{P} = \{\top: n \succ o, n: b \succ c, o: c \succ b\}$ , where  $\mathcal{X} = \{T, C\}$ , and the outcomes are denoted by  $nb, nc, ob$ , and  $oc$ . One possibilistic network expressing these conditional preferences is shown in Figure 1, where  $\alpha, \beta, \gamma \in (0, 1)$ . To compare the outcomes, we compute their possibility values (using the quantitative semantics):  $\pi(nb) = \pi(b|n) \cdot \pi(n) = 1$ ,  $\pi(oc) = \alpha$ ,  $\pi(nc) = \gamma$ , and  $\pi(ob) = \alpha \cdot \beta$ . To obtain the desired total order  $nb \succ oc \succ nc \succ ob$ , it thus suffices to choose the values such that  $\alpha > \gamma > \alpha \cdot \beta$ . ■

### 3 OP-Nets

We now introduce ontological possibilistic networks (OP-nets), which extend possibilistic networks by ontologies.

W.l.o.g., the set  $\Delta_N$  of nulls is the set of all ground terms constructed from the set  $\Delta$  of constants and a set  $\mathcal{F}$  of functions used to skolemize all existential variables in TGDs. Let  $O = (D, \Sigma)$  be a Datalog $\pm$  ontology, and  $\mathcal{X}_O$  be a finite set of variables, where each  $X \in \mathcal{X}_O$  corresponds to a predicate from  $O$ , denoted  $pred(X)$ . Each  $Dom(X)$  consists of at least two ground atoms of the form  $p(c_1, \dots, c_k)$  with  $p = pred(X)$  and  $c_1, \dots, c_k \in \Delta \cup \Delta_N$ . Hence, every outcome  $o \in Dom(\mathcal{X}_O)$  can be seen as a conjunction of ground atoms. An *ontological possibilistic network (OP-net)* is of the form  $(O, \Gamma)$ , where  $\Gamma$  is a possibilistic network over  $\mathcal{X}_O$ .

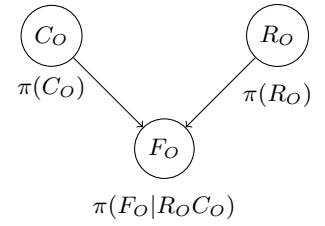
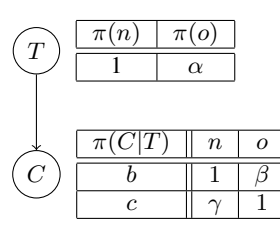


Figure 1: Example 3. Figure 2: DAG for Example 4.

Table 2: Possibility distribution for Example 4.

$\pi(specs(t_1))$	$\pi(specs(t_2))$	$\pi(specs(t_3))$
1	0.5	0.4

$\pi(vendor(t_{10}))$	$\pi(vendor(t_{11}))$
1	0.4

$\pi(\cdot)$	$\mathbf{t}_1\mathbf{t}_{10}$	$\mathbf{t}_1\mathbf{t}_{11}$	$\mathbf{t}_2\mathbf{t}_{10}$	$\mathbf{t}_2\mathbf{t}_{11}$	$\mathbf{t}_3\mathbf{t}_{10}$	$\mathbf{t}_3\mathbf{t}_{11}$
$feature(t_7)$	1	0.3	0.2	0.2	0.2	0.2
$feature(t_8)$	0.7	0.5	0.7	1	0.4	0.3
$feature(t_9)$	0.5	0.3	0.5	0.3	1	0.2

**Example 4** Consider the OP-net  $(O, \Gamma)$  given by the ontology  $O$  of Example 2, the DAG in Figure 2, and the conditional possibility distribution in Table 2. Here, we have  $\mathcal{X}_O = \{C_O, R_O, F_O\}$  with the domains

$$\begin{aligned} Dom(C_O) &= \{specs(t_1), specs(t_2), specs(t_3)\}, \\ Dom(F_O) &= \{feature(t_7), feature(t_8), feature(t_9)\}, \\ Dom(R_O) &= \{vendor(t_{10}), vendor(t_{11})\}. \end{aligned}$$

The possibility distribution could either be learned or derived from explicit preferences, as shown in Section 3.2 below. The possibilities of outcomes are then computed as follows:

$$\pi(C_O R_O F_O) = \pi(F_O | C_O R_O) \otimes \pi(C_O) \otimes \pi(R_O).$$

For example, the outcome  $o$  given by  $o(C_O) = specs(t_1)$ ,  $o(R_O) = vendor(t_{10})$ , and  $o(F_O) = feature(t_7)$  encodes the conjunction  $\mathbf{t}_1 \wedge \mathbf{t}_{10} \wedge \mathbf{t}_7$  and has the possibility 1. ■

#### 3.1 Consistency and Dominance

Since outcomes are conjunctions of ground atoms, some outcomes may be inconsistent, and some may be equivalent. This means that we need a notion of consistency for OP-nets.

An outcome  $o$  of  $(O, \Gamma)$  is *consistent* if the ontology  $O_o = O \cup \{o(X) \mid X \in \mathcal{X}_O\}$  is consistent. Two outcomes  $o$  and  $o'$  are *equivalent*, denoted  $o \sim o'$ , if  $O_o$  and  $O_{o'}$  have the same models. The dominance  $o \prec o'$  w.r.t.  $\Gamma$  is defined as in Section 2.2, and can be decided in polynomial time in the size of  $\Gamma$  by comparing the possibility values of  $o$  and  $o'$ .

An *interpretation*  $\mathcal{I}$  for  $(O, \Gamma)$  is a total preorder over the consistent outcomes in  $Dom(\mathcal{X}_O)$ . It *satisfies* (or is a *model* of)  $(O, \Gamma)$  if, for all consistent outcomes  $o$  and  $o'$ ,

- if  $o \prec o'$ , then  $(o, o') \in \mathcal{I}$  and  $(o', o) \notin \mathcal{I}$ , and
- if  $o \sim o'$ , then  $(o, o'), (o', o) \in \mathcal{I}$ .

An OP-net is *consistent* if it has at least one consistent outcome and it has a model.

**Theorem 1** An OP-net  $(O, \Gamma)$  is consistent iff (i) it has a consistent outcome, and (ii) there are no two equivalent consistent outcomes having different possibility values.

### 3.2 Encoding Preferences with OP-Nets

In [Di Noia *et al.*, 2015], conditional preferences were generalized to the Datalog+/- setting as follows. Let  $Dom^+(X)$  be the set of all atoms  $p(t_1, \dots, t_k)$ , where each  $t_i$  is a term over  $\Delta$ ,  $\mathcal{V}$ , and  $\mathcal{F}$ . An ontological conditional preference  $\varphi$  over  $\mathcal{X}$  is of the form  $v : \xi \succ \xi'$ , where

- $v \in Dom^+(U_\varphi)$  for some  $U_\varphi \subseteq \mathcal{X}$ , and
- $\xi, \xi' \in Dom^+(X_\varphi)$  for some  $X_\varphi \in \mathcal{X} - U_\varphi$ .

A ground instance  $v\theta : \xi\theta \succ \xi'\theta$  of  $\varphi$  is obtained via a substitution  $\theta$  such that  $v\theta \in Dom(U_\varphi)$  and  $\xi\theta, \xi'\theta \in Dom(X_\varphi)$ . Under suitable acyclicity conditions, we can hence find an OP-net  $(O, \Gamma)$  that respects all ground instances of some given ontological conditional preferences in the same way as described in Section 2.2.

**Example 5** Consider the ontological conditional preference  $specs(I, C, F, o) : vendor(V_1, p) \succ vendor(V_2, n)$ , which says that for an old car, it is preferable to have a vendor with positive feedback. One ground instance for this preference is  $specs(t_1) : vendor(t_{10}) \succ vendor(t_{11})$ . Thus, we could choose  $\pi(vendor(t_{10}) | specs(t_1)) = 1$  and  $\pi(vendor(t_{11}) | specs(t_1)) = \alpha < 1$ . ■

Although possibilistic networks are less expressive than CP-theories [Di Noia *et al.*, 2015], they allow for a compact encoding of conditional preferences over ground atoms and yield lower complexity bounds (see Section 5).

## 4 Query Answering under OP-Nets

Using the notions of consistency and dominance, we can define the semantics of query answering, as well as skyline and  $k$ -rank answers, in the context of OP-nets. We first formalize query answering for a given consistent OP-net  $(O, \Gamma)$ . Since the semantics of OP-nets is similar to that of OCP-theories [Di Noia *et al.*, 2015], the definitions are similar. Let  $q(\mathbf{X}) = \exists \mathbf{Y} \phi(\mathbf{X}, \mathbf{Y})$  be a CQ. To extract answers based on the outcomes of a possibilistic network, the atoms in the query must be related to the atoms in conditional preferences. For this purpose, we assume a bijection  $\beta$  from a set of atoms  $\phi_\beta(\mathbf{X}, \mathbf{Y}) \subseteq \phi(\mathbf{X}, \mathbf{Y})$  in  $q$  to a set of variables of  $(O, \Gamma)$ , such that for every atom  $p(\mathbf{Z}) \in \phi_\beta(\mathbf{X}, \mathbf{Y})$  there exists some variable  $X$  in  $(O, \Gamma)$  with  $pred(X) = p$  and  $\beta(p(\mathbf{Z})) = X$ . We collect in  $\mathbf{Y}_\beta$  those quantified variables from  $\mathbf{Y}$  that occur in the atoms  $\phi_\beta(\mathbf{X}, \mathbf{Y})$ , and denote by  $\mathbf{Y}_{\bar{\beta}}$  the set of all remaining variables from  $\mathbf{Y}$ . When  $\phi_\beta$  is empty, i.e., the query atoms are not related to the preferences, then the answers for the query are standard CQ answers w.r.t.  $O$ .

**Definition 1** Let  $(O, \Gamma)$  with  $O = (D, \Sigma)$  be a consistent OP-net,  $q(\mathbf{X}) = \exists \mathbf{Y} \phi(\mathbf{X}, \mathbf{Y})$  be a CQ, and  $o$  be a consistent outcome of  $(O, \Gamma)$ . An answer to  $q$  w.r.t.  $(O, \Gamma)$  and  $o$  is a tuple  $\mathbf{a}$  over  $\Delta \cup \Delta_N$  for which there exists a homomorphism  $h : \mathbf{X} \cup \mathbf{Y}_\beta \rightarrow \Delta \cup \Delta_N$  with (i)  $h(\mathbf{X}) = \mathbf{a}$ , (ii)  $D \cup \Sigma \models \exists \mathbf{Y}_{\bar{\beta}} h(\phi(\mathbf{X}, \mathbf{Y}))$ , and (iii)  $h(a) = o(\beta(a))$  for all  $a \in \phi_\beta(\mathbf{X}, \mathbf{Y})$ . The set of all such answers is denoted by  $ans(q, O, \Gamma, o)$ .

We want to point out that  $\exists \mathbf{Y}_{\bar{\beta}} h(\phi(\mathbf{X}, \mathbf{Y}))$  is a BCQ that uses elements from  $\Delta \cup \Delta_N \cup \mathcal{V}$  as arguments in its atoms. In the following, we call such queries BCQ<sup>N</sup>s. Since the values of the homomorphism  $h$  on  $\mathbf{Y}_\beta$  are determined by the outcome  $o$ , BCQ<sup>N</sup> answering w.r.t.  $o$  has the same complexity as classical BCQ<sup>N</sup> answering.  $k$ -rank answers are obtained by iteratively computing sets of skyline answers until  $k$  answers have been found. However, a tuple may be an answer under more than one outcome. To avoid repetition of answers, we need to keep track of exhausted outcomes and answers.

**Definition 2 (Skyline Answer)** A skyline answer to  $q$  w.r.t.  $(O, \Gamma)$  outside a given set  $\mathcal{Y} \subseteq Dom(\mathcal{X}_O)$  of outcomes is any tuple  $\mathbf{a} \in ans(q, O, \Gamma, o)$  for some consistent outcome  $o \notin \mathcal{Y}$  such that there exists no consistent outcome  $o' \notin \mathcal{Y}$  with  $o' \succ o$  and  $ans(q, O, \Gamma, o') \neq \emptyset$ . A skyline answer to  $q$  w.r.t.  $(O, \Gamma)$  is a skyline answer to  $q$  w.r.t.  $(O, \Gamma)$  and  $\emptyset$ .

**Definition 3 ( $k$ -Rank Answer)** A  $k$ -rank answer to  $Q$  w.r.t.  $(O, \Gamma)$  outside  $\mathcal{Y}$  and outside a given set of ground tuples  $S$  is a sequence  $\langle \mathbf{a}_1, \dots, \mathbf{a}_k \rangle$  such that either  $\mathbf{a}_1, \dots, \mathbf{a}_k$  are  $k$  skyline answers to  $Q$  w.r.t.  $(O, \Gamma)$  outside  $\mathcal{Y}$  that do not belong to  $S$ ; or  $\mathbf{a}_1, \dots, \mathbf{a}_i$  are all the skyline answers to  $Q$  w.r.t.  $(O, \Gamma)$  outside  $\mathcal{Y}$  that do not belong to  $S$  and  $\langle \mathbf{a}_{i+1}, \dots, \mathbf{a}_k \rangle$  is a  $(k - i)$ -rank answer to  $Q$  w.r.t.  $(O, \Gamma)$  outside  $\mathcal{Y} \cup \{o\}$  and  $S \cup \{\mathbf{a}_1, \dots, \mathbf{a}_i\}$ , where  $o$  is an undominated outcome w.r.t.  $(O, \Gamma)$ . A  $k$ -rank answer to  $Q$  w.r.t.  $(O, \Gamma)$  is a  $k$ -rank answer to  $Q$  w.r.t.  $(O, \Gamma)$  outside  $\emptyset$  and  $\emptyset$ .

**Example 6** Consider the consistent OP-net  $(O, \Gamma)$  of Example 4 and the CQ  $q(C, F, T, N) = \exists I specs(I, C, F, T) \wedge feature(F, N)$ . Then,  $\langle b, f_1, o, ac \rangle$  is the skyline answer under the consistent outcome  $\mathbf{t}_1 \wedge \mathbf{t}_{10} \wedge \mathbf{t}_7$ . The skyline answer for  $q'(C, T) = \exists N q(C, f_2, T, N)$  is  $\langle c, n \rangle$  with possibility  $\pi(\mathbf{t}_2 \mathbf{t}_{10} \mathbf{t}_8) = 0.5 \cdot 1 \cdot 0.7 = 0.35$ , while the 2-rank answer is  $\langle \langle c, n \rangle, \langle c, o \rangle \rangle$ . Hence, if feature  $f_2$  is mandatory, the offered new and colorful car is preferred over the old and colorful one, mainly due to positive feedback about vendor  $v_1$ . ■

## 5 Computational Complexity

We now analyze the computational complexity of the following problems, and delineate some tractable special cases:

**Consistency:** Is a given OP-net  $(O, \Gamma)$  consistent?

**CQ Skyline Membership:** Is a tuple  $\mathbf{a}$  over  $\Delta \cup \Delta_N$  a skyline answer to a CQ  $q$  w.r.t. an OP-net  $(O, \Gamma)$ ?

### 5.1 Complexity Classes

We assume some familiarity with the complexity classes  $AC^0$ , P, NP, co-NP,  $\Sigma_2^P$ ,  $\Pi_2^P$ , PSPACE, EXP, and 2EXP. The class  $D^P = NP \wedge co-NP$  (resp.,  $D_2^P = \Sigma_2^P \wedge \Pi_2^P$ ) is the class of all problems that are the intersection of a problem in NP (resp.,  $\Sigma_2^P$ ) and a problem in co-NP (resp.,  $\Pi_2^P$ ). The class  $\Delta_2^P$  (resp.,  $\Delta_3^P$ ) is the class of all problems that can be computed in polynomial time with an oracle for NP (resp.,  $\Sigma_2^P$ ). The above complexity classes and their inclusion relationships (which are all currently believed to be strict) are shown below:

$$\begin{aligned} AC^0 \subseteq P \subseteq NP, co-NP \subseteq D^P \subseteq \Delta_2^P \subseteq \Sigma_2^P, \Pi_2^P \\ \subseteq D_2^P \subseteq \Delta_3^P \subseteq PSPACE \subseteq EXP \subseteq 2EXP. \end{aligned}$$

## 5.2 Decidability Paradigms

The main (syntactic) conditions on TGDs that guarantee the decidability of BCQ answering are guardedness [Cali *et al.*, 2013], stickiness [Cali *et al.*, 2012b], and acyclicity. Interestingly, each such condition has its “weak” counterpart: weak guardedness [Cali *et al.*, 2013], weak stickiness [Cali *et al.*, 2012b], and weak acyclicity [Fagin *et al.*, 2005], respectively.

A TGD  $\sigma$  is *guarded* if an atom  $\mathbf{a} \in \text{body}(\sigma)$  exists that contains (or “guards”) all the body variables of  $\sigma$ . *Linear* TGDs have only one body atom (which is automatically a guard). *Weakly guarded* TGDs require only “harmful” body variables to appear in the guard. The associated classes are denoted G, L, and WG, respectively. Notice that  $L \subset G \subset WG$ .

Stickiness is inherently different from guardedness, and its central property is as follows: variables that appear more than once in a body (i.e., join variables) are always propagated (or “stick”) to the inferred atoms. Weak stickiness is a relaxation of stickiness where only “harmful” variables are taken into account. Sets of TGDs that enjoy the above properties are *sticky* and *weakly sticky*, and the corresponding classes are denoted S and WS, respectively. Observe that  $S \subset WS$ .

A set  $\Sigma$  of TGDs is *acyclic* if its predicate graph is acyclic. In fact, an acyclic set of TGDs can be seen as nonrecursive. We say  $\Sigma$  is *weakly acyclic* if its dependency graph enjoys a certain acyclicity condition, which actually guarantees the existence of a finite canonical model. The associated classes are denoted A and WA, respectively. We have  $A \subset WA \subset WS$ .

Another key fragment of TGDs are *full* TGDs, i.e., TGDs without existentially quantified variables, and the corresponding class is denoted F. If full TGDs enjoy linearity, guardedness, stickiness, or acyclicity, then we obtain the classes LF, GF, SF, and AF, respectively. Note that  $F \subset WA$  and  $F \subset WG$ .

## 5.3 Overview of Results

Our complexity results for the consistency and the CQ skyline membership problem for OP-nets over the decidable Datalog+/- languages mentioned above are compactly summarized in Tables 3 and 4, respectively. Observe that compared to OCP-theories [Di Noia *et al.*, 2015], we obtain lower complexities for L, LF, AF, G, S, F, GF, SF, WS, and WA in the fp-combined complexity (completeness for  $D_2^p$  and  $\Delta_2^p$ , respectively, rather than PSPACE), and for L, LF, AF, S, F, GF, and SF in the ba-complexity (completeness for  $D_2^p$  and  $\Delta_3^p$ , respectively, rather than PSPACE). Notice also that the complexity theorems below are generic results, applying also to Datalog+/- languages beyond the ones in Tables 3 and 4. Their proofs even apply to arbitrary preference formalisms, as long as dominance between two outcomes can be decided in polynomial time, e.g., rankings computed by information retrieval methods [Joachims, 2002].

## 5.4 Combined Complexity

We first show some generic upper bounds for the complexity of consistency and CQ skyline membership w.r.t. OP-nets.

**Theorem 2** *Let  $\mathcal{T}$  be a class of OP-nets  $(O, \Gamma)$ . If checking non-emptiness of the answer set of a CQ<sup>N</sup> w.r.t.  $O$  is in a complexity class  $\mathcal{C}$ , then consistency in  $\mathcal{T}$  is in  $\text{NP}^{\mathcal{C}} \wedge \text{co-NP}^{\mathcal{C}}$  and CQ skyline membership in  $\mathcal{T}$  is in  $\text{P}^{\text{NP}^{\mathcal{C}}}$ . If  $\mathcal{C} = \text{NP}$  and we*

Table 3: Combined, ba-combined, fp-combined, and data complexity of deciding consistency for OP-nets with different classes of TGDs.

Class	Comb.	ba-comb.	fp-comb.	Data
L, LF, AF	PSPACE	$D_2^p$	$D^p$	in $\text{AC}^0$
G	2EXP	EXP	$D^p$	P
WG	2EXP	EXP	EXP	EXP
S, SF	EXP	$D_2^p$	$D^p$	in $\text{AC}^0$
F, GF	EXP	$D_2^p$	$D^p$	P
WS, WA	2EXP	2EXP	$D^p$	P

Table 4: Combined, ba-combined, fp-combined, and data complexity of deciding CQ skyline membership for OP-nets with different classes of TGDs.

Class	Comb.	ba-comb.	fp-comb.	Data
L, LF, AF	PSPACE	$\Delta_3^p$	$\Delta_2^p$	in $\text{AC}^0$
G	2EXP	EXP	$\Delta_2^p$	P
WG	2EXP	EXP	EXP	EXP
S, SF	EXP	$\Delta_3^p$	$\Delta_2^p$	in $\text{AC}^0$
F, GF	EXP	$\Delta_3^p$	$\Delta_2^p$	P
WS, WA	2EXP	2EXP	$\Delta_2^p$	P

consider the fp-combined complexity, then consistency in  $\mathcal{T}$  is in  $D^p$  and CQ skyline membership in  $\mathcal{T}$  is in  $\Delta_2^p$ .

In particular, for  $\mathcal{C} = \text{PSPACE}$ , we obtain inclusion in PSPACE for both problems, and the same for any deterministic complexity class above PSPACE. For  $\mathcal{C} = \text{NP}$ , we get the classes  $D_2^p$  and  $\Delta_3^p$ . The lower bounds PSPACE and above follow from consistency and equivalence of outcomes being as powerful as checking entailment of arbitrary ground BCQ<sup>N</sup>s.

**Theorem 3** *Let  $\mathcal{T}$  be a class of OP-nets  $(O, \Gamma)$ . If ground atomic BCQ<sup>N</sup> answering w.r.t.  $O$  is  $\mathcal{C}$ -hard, where  $\mathcal{C} \supseteq \text{PSPACE}$  is a deterministic complexity class, then consistency and CQ skyline membership in  $\mathcal{T}$  are  $\mathcal{C}$ -hard.*

The  $D^p$  (resp.,  $D_2^p$ ) lower bound holds by a reduction from the validity problem of  $\forall \mathbf{Y} \varphi(\mathbf{Y}) \wedge \exists \mathbf{Y} \psi(\mathbf{Y})$  (resp.,  $\exists \mathbf{X} \forall \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y}) \wedge \forall \mathbf{X} \exists \mathbf{Y} \psi(\mathbf{X}, \mathbf{Y})$ ), where  $\varphi(\mathbf{Y})$  (resp.,  $\varphi(\mathbf{X}, \mathbf{Y})$ ) is a propositional 3-DNF formula, and  $\psi(\mathbf{Y})$  (resp.,  $\psi(\mathbf{X}, \mathbf{Y})$ ) is a propositional 3-CNF formula.

**Theorem 4** *For OP-nets whose underlying ontology is defined in a Datalog+/- language  $\mathcal{T}$  that allows for NCs, deciding consistency is hard for  $D^p$  (resp.,  $D_2^p$ ) in the fp-combined (resp., ba-combined) complexity.*

The  $\Delta_2^p$  (resp.,  $\Delta_3^p$ ) lower bound holds by a reduction from the problem of deciding, given a valid  $\exists \mathbf{X} \psi(\mathbf{X})$  (resp.,  $\exists \mathbf{X} \forall \mathbf{Y} \varphi(\mathbf{X}, \mathbf{Y})$ ), where  $\psi(\mathbf{X})$  (resp.,  $\varphi(\mathbf{X}, \mathbf{Y})$ ) is a propositional 3-CNF (resp., 3-DNF) formula, whether the lexicographically maximal satisfying truth assignment for  $\mathbf{X} = \{x_1, \dots, x_n\}$  maps  $x_n$  to true [Krentel, 1988; 1992].

**Theorem 5** *For OP-nets whose underlying ontology is defined in a Datalog+/- language  $\mathcal{T}$  that allows for NCs, deciding CQ skyline membership is hard for  $\Delta_2^p$  (resp.,  $\Delta_3^p$ ) in the fp-combined (resp., ba-combined) complexity.*

From the known complexity results for ontology languages of the Datalog+/- family (see, e.g., [Di Noia *et al.*, 2015]), we

obtain the complexity results w.r.t. combined, ba-combined, and fp-combined complexity listed in Tables 3 and 4.

## 5.5 Data Complexity

We now show that tractability in data complexity for deciding consistency and CQ skyline membership for OP-nets carries over from classical BCQ<sup>N</sup> answering. Here, data complexity means that  $\Sigma$  and the variables and possibility distributions of  $\Gamma$  are both fixed, while  $D$  is part of the input.

**Theorem 6** *Let  $\mathcal{T}$  be a class of OP-nets  $(O, \Gamma)$  for which BCQ<sup>N</sup> answering in  $O$  is possible in polynomial time (resp., in AC<sup>0</sup>) in the data complexity. Then, deciding consistency and CQ skyline membership in  $\mathcal{T}$  is possible in polynomial time (resp., in AC<sup>0</sup>) in the data complexity.*

As a corollary, we obtain the data tractability results listed in the last column of Tables 3 and 4. Note that all memberships in P are also P-hard, due to a standard reduction of propositional logic programming to guarded full TGDs. These results do not apply to WG, where BCQ<sup>N</sup> answering is data complete for EXP, and data hardness holds even for ground atomic BCQs; however, data completeness for EXP can be proved similarly to Theorems 2 and 3.

## 6 Related Work

Preferences have long been studied in many disciplines, prominently in philosophy, databases, and AI. One of the earliest works on modeling preferences in databases is [Lacroix and Lavency, 1987], which extends the relational calculus with preference modeling mechanisms for query answering. Since then, many approaches go in this direction [Stefanidis *et al.*, 2011]. In AI, preference modeling is more concerned with compact representation and computational issues. In this regard, [Bienvenu *et al.*, 2010] bridges the gaps between the two streams of preference modeling and suggests that most AI formalisms are fragments of a prototypical preference logic. CP-nets [Boutilier *et al.*, 2004] are one of the most widely used preference representation languages. Possibilistic logic [Benferhat *et al.*, 2001; Dubois and Prade, 2004] has recently also been discovered as a useful tool, and a lot of work has been done in bridging the differences between possibilistic logic and CP-nets [Dubois *et al.*, 2013]. More recently, possibilistic networks [Ben Amor *et al.*, 2014] have been advocated as a natural encoding of preferences. Having some computational and expressive benefits over CP-nets, possibilistic networks look very promising.

The work closest in spirit to this paper is perhaps [Di Noia *et al.*, 2015], which is based on CP-theories [Wilson, 2004]. CP-theories admit preferences of the type “given  $c$ , we prefer  $a$  to  $b$ , irrespective of the value of  $W$ ”, which realize a weakening of the ceteris paribus condition. Although possibilistic networks do not allow for such indifference between values of some variables  $W$ , they are also based on a weakening of the ceteris paribus condition. This is because possibilistic networks represent total preorders of outcomes, based on the given conditional preferences and the choice of their relative importance (via their conditional possibility), which can only be expressed in possibilistic networks. CP-theories (and CP-nets), in contrast, can handle to some extent cyclic

preference dependency graphs, while possibilistic networks assume that these graphs are acyclic. Possibilistic networks can also express certain types of preferences that CP-theories cannot, as we have seen in Example 1. Clearly, all these semantic properties of possibilistic networks, compared to CP-theories (and CP-nets), are inherited by OP-nets. Moreover, OP-nets sometimes have lower combined, ba-combined, and fp-combined complexity than OCP-theories [Di Noia *et al.*, 2015], since consistency and dominance in CP-theories are already PSPACE-hard problems [Goldsmith *et al.*, 2008]. In summary, OP-nets have advantages over OCP-theories, as they are computationally less expensive, while retaining most of the expressivity, and even allow representing some preferences that cannot be represented in OCP-theories.

Other combinations of Semantic Web formalisms with preference representation and reasoning include the work by Lukasiewicz and Schellhase [2007], which presents a system to rank-order ontologically annotated objects, using a ranking function based on conditional preferences. Lukasiewicz *et al.* [2013] focus on preference-based query answering on ontological data by extending Datalog+/- with preference management capabilities, called *PrefDatalog+/-*. Preferences in *PrefDatalog+/-* have the form of general first-order sentences, and so have a higher complexity. Data tractability results also hold only for disjunctions of atomic queries and not conjunctive queries. Di Noia *et al.* [2013] use ontological axioms to restrict CP-net outcomes. In information retrieval, in [Boubekeur *et al.*, 2007], Wordnet is used to add semantics to CP-net variables. Possibilistic networks have also been used for information retrieval in [Boughanem *et al.*, 2009], where the possibility and the necessity measure are used to evaluate (i) the extent to which a given document is relevant to a query, and (ii) the reasons of eliminating irrelevant documents.

## 7 Summary and Outlook

We have introduced OP-nets, which are a novel combination of Datalog+/- ontologies with possibilistic networks. We have then defined skyline and  $k$ -rank answers for this framework. Furthermore, we have provided a host of complexity (including several data tractability) results for deciding consistency and CQ skyline membership for OP-nets. Due to the lower (polynomial) complexity of dominance testing in possibilistic networks, compared to CP-theories, several resulting complexities for OP-nets are lower than for OCP-theories. The complexity results and these lower complexities are actually independent of possibilistic networks; they hold for all rankings on outcomes where each rank can be computed in polynomial time. For example, they are also applicable to combinations of Datalog+/- with rankings from information retrieval and machine learning [Joachims, 2002].

Interesting topics of ongoing and future research include the implementation and experimental evaluation of the presented approach, as well as a generalization based on possibilistic logic [Benferhat *et al.*, 2002] to gain more expressivity and some new features towards nonmonotonic reasoning and belief revision [Ben Amor *et al.*, 2014]; moreover, an apparent relation between possibilistic logic and quantitative choice logic [Benferhat *et al.*, 2004] may also be exploited.

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