Exploration Based Language Learning for Text-Based Games

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Abstract

This work presents an exploration and imitation-learning-based agent capable of state-of-the-art performance in playing text-based computer games. These games are of interest as they can be seen as a test bed for language understanding, problem-solving, and language generation by artificial agents. Moreover, they provide a learning setting in which these skills can be acquired through interactions with an environment rather than using fixed corpora. One aspect that makes these games particularly challenging for learning agents is the combinatorially large action space. Existing methods for solving text-based games are limited to games that are either very simple or have an action space restricted to a predetermined set of admissible actions. In this work, we propose to use the exploration approach of Go-Explore [Ecoffet et al., 2019] for solving text-based games. More specifically, in an initial exploration phase, we first extract trajectories with high rewards, after which we train a policy to solve the game by imitating these trajectories. Our experiments show that this approach outperforms existing solutions in solving text-based games, and it is more sample efficient in terms of number of interactions with the environment. Moreover, we show that the learned policy can generalize better than existing solutions to unseen games without using any restriction on the action space.

1 Introduction

Text-based games became popular in the mid 80s with the game series Zork [Anderson and Galley, 1985] resulting in many different text-based games being produced and published [Spaceman, 2019]. These games use a plain text description of the environment and the player has to interact with them by writing natural-language commands. Recently, there has been a growing interest in developing agents that can automatically solve text-based games [Côté et al., 2018] by interacting with them. Since the actions in these games are commands that are in natural language form, the major obstacle is the extremely large action space of the agent, which leads to a combinatorially large exploration problem. In fact, with a vocabulary of $N$ words (e.g. 20K) and the possibility of producing sentences with at most $m$ words (e.g. 7 words), the total number of actions is $O(N^m)$ (e.g. $20K^7 = 1.28 \times 10^{30}$). To avoid this large action space, several existing solutions focus on simpler text-based games with very small vocabularies where the action space is constrained to verb-object pairs [Narasimhan et al., 2015]. Moreover, many existing works rely on using predetermined sets of admissible actions [He et al., 2015; Tessler et al., 2019; Zahavy et al., 2018]. However, a more ideal, and still under explored, alternative would be an agent that can operate in the full, unconstrained action space of natural language that can systematically generalize to new text-based games with no or few interactions with the environment.

To address this challenge, we propose to adapt the recently proposed Go-Explore [Ecoffet et al., 2019] algorithm. Specifically, we propose to first extract high reward trajectories of states and actions in the game using the exploration methodology proposed in Go-Explore and then train a policy using a Seq2Seq model that maps observations to actions, in an imitation learning fashion. To show the effectiveness of our proposed methodology, we first benchmark the exploration ability of our Go-Explore variant on the family of text-based games called CoinCollector [Yuan et al., 2018]. Then we use the 4,440 games from the popular “First TextWorld Problems” [Côté, 2018], challenge, which are generated using TextWorld [Côté et al., 2018], to show the generalization ability of our proposed methodology. In the former experiment we show that our Go-Explore variant finds winning trajectories faster than existing solutions, and in the latter, we show that training a Seq2Seq model on the trajectories found during exploration results in stronger generalization, as suggested by the stronger performance on un-

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seen games, compared to existing competitive baselines.

To summarize, our contributions include: 1) first use of Go-Explore beyond the Atari setting; 2) substantial modification to the original Go-Explore by including the reward in the cell representation, as well as, using imitation learning in the second phase, instead of PPO [Schulman et al., 2017], which make the algorithm work in a combinatorial action space; 3) state-of-the-art performance in several text-based games; 4) first to test the generalization of the learned agents both across games and on unseen games.

2 Related work

Reinforcement Learning Based Approaches for Text-Based Games Among reinforcement learning based efforts to solve text-based games two approaches are prominent. The first approach assumes an action as a sentence of a fixed number of words, and associates a separate $Q$-function [Watkins, 1989; Mnih et al., 2015] with each word position in this sentence. This method was demonstrated with two-word sentences consisting of a verb-object pair (e.g. take apple) [Narasimhan et al., 2015; Yuan et al., 2018]. In the second approach, one $Q$-function that scores all possible actions (i.e. sentences) is learned and used to play the game [He et al., 2015; Tessler et al., 2019; Zahavy et al., 2018]. The first approach is quite limiting since a fixed number of words must be selected in advance and no sequential dependency is enforced between words (e.g. lack of language modelling). In the second approach, on the other hand, the number of possible actions can become exponentially large if the admissible actions (a predetermined low cardinality set of actions that the agent can take) are not provided to the agent. A possible solution to this issue has been proposed by [Tao et al., 2018]: a hierarchical pointer-generator is used to first produce the set of admissible actions given the observation, and subsequently to choose one element from this set as the action to perform. However, in our experiments we show that even in settings where the true set of admissible actions is provided by the environment, a $Q$-scorer [He et al., 2015] does not generalize well on unseen games (Section 5.2 Zero-Shot) and we would expect performance to degrade even further if the admissible actions were generated by a separate model. Less common are models that either learn to reduce a large set of actions into a smaller set of admissible actions by eliminat-

**Table 1:** Example of the observations provided by the CookingWorld environment.
$a_i$ as the sequence $\{a_0^i, \ldots, a_n^i\}$. Furthermore, we define the set of admissible actions $A_t \in A$ as $A_t = \{a_0, \ldots, a_n\}$, where each $a_i$, which is a sequence of tokens, is grammatically correct and admissible with reference to the observation $o_t$.

### 3.1 Phase 1: Exploration

In phase 1, Go-Explore builds an archive of cells, where a cell is defined as a set of observations that are mapped to the same, discrete representation by some mapping function $f(o)$. Each cell is associated with meta-data including the trajectory towards that cell, the length of that trajectory, and the cumulative reward of that trajectory. New cells are added to the archive when they are encountered in the environment, and existing cells are updated with new meta-data when the trajectory towards that cells is higher scoring or equal scoring but shorter.

At each iteration the algorithm selects a cell from this archive based on meta-data of the cell (e.g. the accumulated reward, etc.) and starts to randomly explore from the end of the trajectory associated with the selected cell. Phase 1 requires three components: the observation-to-cell mapping function $f(o)$, the cell selection criterion (based on cumulative reward in our case), and the way actions are selected when exploring from a selected cell (randomly in our case). In our variant of the algorithm, $f(o)$ is defined as follows: given an observation, we compute the sum of the word embedding for each token in this observation, and then concatenate this sum with the current cumulative reward to construct the cell representation. The resulting vectors are subsequently compressed and discretized by binning them in order to map similar observations to the same cell. This way, the cell representation, which is the key of the archive, incorporates information about the current observation of the game. Adding the current cumulative reward to the cell representation is new to our Go-Explore variant, as in the original algorithm only down-scaled image pixels were used. This choice was fundamental in increasing the speed at which high reward trajectories are discovered, making the exploration feasible. In phase 1, we restrict the action space to the set of admissible actions $A_t$ that are provided by the game at every step of the game$^1$. This too is particularly important for the exploration to find a high reward trajectory faster. Finally, we denote the trajectory found in phase 1 for game $g$ as $T_g = [(o_0, a_0, r_0), \ldots, (o_t, a_t, r_t)]$.

### 3.2 Phase 2: Generalization

Phase 2 of Go-Explore uses the trajectories found in phase 1 and trains a policy based on those trajectories. The goal of this phase in the original Go-Explore algorithm is to turn the fragile policy of playing a trajectory of actions in sequence into a more robust, state-conditioned policy that can thus deal with environmental stochasticity. In our variant of the algorithm the purpose of the second phase is generalization, although in our environment there is no stochasticity, our goal is to learn a general policy that can be applied across different games and generalizes to unseen games. In the original Go-Explore implementation, the authors used the backward Proximal Policy Optimization algorithm (PPO) [Sai-\textit{m}ans and Chen, 2018; Schu\textit{man} et al., 2017] to train this policy. In this work we opt for a simple but effective Seq2Seq imitation learning approach that does not use the reward directly in the loss and copes with the vast action space generating one token at a time sequentially. More specifically, given the trajectory $T_g$, we train a Seq2Seq model to minimize the negative log-likelihood of the action $a_i$ given the observation $o_t$. We define a word embedding matrix $E \in \mathbb{R}^{d \times |V|}$, where $d$ is the embedding size and $|V|$ is the cardinality of the vocabulary, which maps the input token to an embedded vector. Then, we define an encoder LSTM $\text{LSTM}_{enc}$ and a decoder $\text{LSTM}_{dec}$. Every token of $o_t$ from the trajectory $T_g$ is embedded using $E$ and the sequence of these embedding vectors is passed through $\text{LSTM}_{enc}$:

$$h_{t-1}^{enc} = \text{LSTM}_{enc}(E(o_t^i), h_{t-1}^{enc}).$$  

The last hidden state $h_{|o_t^i|}^{enc}$ is used as the initial hidden state of the decoder which generates the action $a_t$ token by token. Specifically, given the sequence of hidden states $H \in \mathbb{R}^{2d \times |o_t|}$ of the encoder, tokens $a_t^j$ are generated as follows:

$$h_j^{dec} = \text{LSTM}_{dec}(E(a_{t-1}^{j-1}), h_{j-1}^{dec})$$  

$$c_j = \text{Softmax}(h_j^{dec}) H^T$$  

$$\text{dist}_k^j = \text{Softmax}(W[h_j^{dec}; c_j])$$

where $W \in \mathbb{R}^{2d \times |V|}$ is a matrix that maps the decoder hidden state, concatenated with the context vector, into a vocabulary-sized vector. During training, the parameters of the model are trained by minimizing:

$$L_{p(a_t^j | o_t^i)} = -\sum_k |o_t^i| \log(\text{dist}_k^j(a_t^j))$$

which is the sum of the negative log likelihood of each token in $a_t^i$ (using teacher forcing [Williams and Zipser, 1989]). However, at test time the model produces the sequence in an auto-regressive manner using greedy search.

### 4 Experiments

#### 4.1 Games and experiments setup

[Yuan et al., 2018; Côté et al., 2018; Narasimhan et al., 2015] proposes a set of commonly used standard benchmarks for agents that play text-based games that require no more than two words in each step to solve the game and have a very limited number of admissible actions per observation. While simple, this setting limits the agent’s ability to fully express natural language and learn more complex ways to speak. In this paper, we embrace more challenging environments where multiple words are needed at each step to solve the games and the reward is particularly sparse. Hence, we have selected the following environments:
Table 2: Statistics of the two families of text-based games used in the experiments. The average is among the different games in CookingWorld and among different instances of the same game for CoinCollector.

- **CoinCollector** [Yuan et al., 2018] is a class of text-based games where the objective is to find and collect a coin from a specific location in a given set of connected rooms. The agent wins the game after it collects the coin, at which point (for the first and only time) a reward of +1 is provided. In this game the environment parses only five admissible commands made of two words: go north, go east, go south, go west, and take coin.

- **CookingWorld** [Côté, 2018] in this challenge, there are 4,440 games with 222 different levels of difficulty, with 20 games per level of difficulty, each with different entities and maps. The goal of each game is to cook and eat food from a given recipe, which includes the task of collecting ingredients (e.g. tomato, potato, etc.), objects (e.g. knife), and processing them according to the recipe (e.g. cook potato, slice tomato, etc.). The parser of each game accepts 18 verbs and 51 entities with a predefined grammar, but the overall size of the vocabulary of the observations is 20,000.

Table 2 summarizes the statistics of the environments used in the experiments. In our experiments, we try to address two major research questions. First, we want to benchmark the exploration power of phase 1 of Go-Explore in comparison to existing exploration approaches used in text-based games. For this purpose, we generate 10 CoinCollector games with the hardest setting used by [Yuan et al., 2018], i.e. hard-level 30 and use them as a benchmark. In fact, CoinCollector requires many actions (at least 30 on hard games) to find a reward, which makes it suitable for testing the exploration capabilities of different algorithms. Secondly, we want to verify the generalization ability of our model in creating complex strategies using natural language. CoinCollector has a very limited action space, and is mainly designed to benchmark models on their capability of dealing with sparse rewards. Therefore we use the more complex CookingWorld games to evaluate the generalization capabilities of our proposed approach. We design three different settings for CookingWorld: 1) Single: treat each game independently, which means we train and test one agent for each game to evaluate how robust different models are across different games.; 2) Joint: training and testing a single policy on all the 4,440 CookingWorld games at the same time to verify that models can learn to play multiple games at the same time; 3) Zero-Shot: split the games into training, validation, and test sets, and then train our policy on the training games and test it on the unseen test games. This setting is the hardest among all, since it requires generalization to unseen games.

Figure 1: CoinCollector results of DQN++ and DRQN++ versus Go-Explore Phase 1, i.e. just exploration.

In both CoinCollector and CookingWorld games an observation \( o_t \) provided by the environment consists of a room description \( D \), inventory information \( I \), quest \( Q \), previous action \( P \) and feedback \( F \) provided in the previous turn. Table 1 shows an example for each of these components. In our experiments for phase 1 of Go-Explore we only use \( D \) as the observation.

4.2 Baselines

For the CoinCollector games, we compared Go-Explore with the episodic discovery bonus [Gershman and Daw, 2017] that was used by [Yuan et al., 2018] to improve two Q-learning-based baselines: DQN++ and DRQN++. We used the code provided by the authors and the same hyper-parameters\(^2\).

For the CookingWorld games, we implemented four different treatments based on two existing methods:

- **LSTM-DQN** [Narasimhan et al., 2015; Yuan et al., 2018]: An LSTM based state encoder with a separate Q-functions for each component (word) of a fixed pattern of Verb, Adjective1, Noun1, Adjective2, and Noun2. In this approach, given the observation \( o_t \), the tokens are first converted into embeddings, then an LSTM is used to extract a sequence of hidden states \( H_{dqn} \in \mathbb{R}^{d||o_t||} \). A mean-pool layer is applied to \( H_{dqn} \) to produce a single vector \( h_o \), that represents the whole sequence. Next, a linear transformation \( W_{type} \in \mathbb{R}^{d||V_{type}||} \) is used to generate each of the Q-values, where \( |V_{type}| \ll |V| \) is the subset of the original vocabulary restricted to the word type of a particular game (e.g for Verb type: take, drop, etc.). Formally, we have:

\[
Q(o_t, a_{type}) = h_o, W_{type}
\]

where, type \( \in \{ \text{Verb, Obj, Noun, Obj2, Noun2} \} \).

Next, all the Q-functions are jointly trained using the DQN algorithm with \( \epsilon \)-greedy exploration [Watkins, 1989; \(^2\)The authors released their code at https://github.com/xingdi-eric-yuan/TextWorld-Coin-Collector.}
Mnih et al., 2015]. At evaluation time, the argmax of each Q-function is concatenated to produce \( a_t \). Importantly, in \( V_{DQN} \) a special token \(<s>\) is used to denote the absence of a word, so the model can produce actions with different lengths.

- **LSTM-DQN+ADM**: It is the same model as LSTM-DQN, except that the random actions for \( \epsilon \)-greedy exploration are sampled from the set of admissible actions instead of creating them by sampling each word separately.

- **LSTM-DQN+ADM+CNT**: It is the same model as the previous case, but with the exploration based reward as in DQN++ [Yuan et al., 2018].

- **DRRN** [He et al., 2015]: In this approach a model learns how to score admissible actions instead of directly generating the action token by token. The policy uses an LSTM for encoding the observation and actions are represented as the sum of the embedding of the word tokens they contain. Then, the \( Q \) value is defined as the dot product between the embedded representations of the observation and the action. Following the aforementioned notation, \( h_{\alpha} \) is generated as in the LSTM-DQN baseline. Next, we define its embedded representation as \( c_i = \sum_k^{|h_{\alpha}|} E(a_k^i) \), where \( E \) is an embedding matrix as in Equation 1. Thus, the \( Q \)-function is defined as:

\[
Q(o_t, a_t) = h_{\alpha}c_i
\]

At test time the action with the highest \( Q \) value is chosen.

### 4.3 Hyper-parameters

In all the games the maximum number of steps has been set to 50. As mentioned earlier, the cell representation used in the Go-Explore archive is computed as the binning of the sum of embeddings of the room description tokens concatenated with the current cumulative reward. The sum of embeddings is computed using 50 dimensional pre-trained GloVe [Pennington et al., 2014] vectors. In the CoinCollector baselines we use the same hyper-parameters as in the original paper. In CookingWorld all the baselines use pre-trained GloVe of dimension 100 for the single setting and 300 for the joint one. The LSTM hidden state has been set to 300 for all the models.

### 5 Results

#### 5.1 CoinCollector

In this setting, we compare the number of actions played in the environment (frames) and the score achieved by the agent (i.e. +1 reward if the coin is collected). In Go-Explore we also count the actions used to restore the environment to a selected cell, i.e. to bring the agent to the state represented in the selected cell. This allows a one-to-one comparison of the exploration efficiency between Go-Explore and algorithms that use a count-based reward in text-based games. Importantly, [Yuan et al., 2018] showed that DQN and DRQN, without such counting rewards, could never find a successful trajectory in hard games such as the ones used in our experiments. Figure 1 shows the number of interactions with the environment (frames) versus the maximum score obtained, averaged over 10 games of the same difficulty. As shown by [Yuan et al., 2018], DRQN++ finds a trajectory with the maximum score faster than DQN++. On the other hand, phase 1 of Go-Explore finds an optimal trajectory with approximately half the interactions with the environment. Moreover, the trajectory length found by Go-Explore is always optimal (i.e. 30 steps) whereas both DQN++ and DRQN++ have an average length of 38 and 42 respectively.

#### 5.2 CookingWorld

In CookingWorld, we compared models in the three settings mentioned earlier, namely, Single, Joint, and Zero-shot. In all experiments, we measured the sum of the final scores of all the games and their trajectory length (number of steps). Table 3 summarizes the results in these three settings. Phase 1 of Go-Explore on single games achieves a total score of 19,530 (sum over all games), which is very close to the maximum possible points (i.e. 19,882), with 47,562 steps. A winning trajectory was found in 4,279 out of the total of 4,440 games. This result confirms again that the exploration strategy of Go-Explore is effective in text-based games. Next, we evaluate the effectiveness and the generalization ability of the imitation learning policy trained using the extracted trajectories in phase 1 of Go-Explore in the three settings mentioned above.

**Single** In this setting, each model is trained from scratch in each of the 4,440 games based on the trajectory found in phase 1 of Go-Explore (previous step). As shown in Table 3, the LSTM-DQN [Narasimhan et al., 2015; Yuan et al., 2018] approach without the use of admissible actions performs poorly. One explanation for this could be that it is difficult for this model to explore both language and game strategy at the same time; it is hard for the model to find a reward signal before it has learned to model language, since almost none of its actions will be admissible, and those reward signals are what is necessary in order to learn the language model. As we see in Table 3, however, by using the admissible actions in the \( \epsilon \)-greedy step the score achieved by the LSTM-DQN increases dramatically (+ADM row in Table 3). DRRN [He et al., 2015] achieves a very high score, since it explicitly learns how to rank admissible actions (i.e. a much simpler task than generating text). Finally, our approach of using a Seq2Seq model trained on the single trajectory provided by phase 1 of Go-Explore achieves the highest score among all the methods, even though we do not use admissible actions in this phase. However, in this experiment the Seq2Seq model cannot perfectly replicate the provided trajectory and the total achieved score that is 9.4% lower compared to the total score achieved by phase 1 of Go-Explore.

**Joint** In this setting, a single model is trained on all the games at the same time, to test whether one agent can learn to play multiple games. Overall, our proposed model greatly outperforms the baselines, although, as expected, all the evaluated models achieved a lower performance compared to the single game setting. One reason for this could be that learning multiple games at the same time leads to a situation where the agent encounters similar observations in different games, and the correct action to take in different games may be different. Furthermore, it is important to note that the order in which games are presented greatly affects the performance of
LSTM-DQN and DRRN. In our experiments, we tried both an easy-to-hard curriculum (i.e. sorting the games by increasing level of difficulty) and a shuffled curriculum. Shuffling the games at each epoch resulted in far better performance, thus we only report the latter.

Zero-Shot In this setting the 4,440 games are split into training, validation, and test games. The split is done randomly, but in a way that different difficulty levels (recipes 1, 2 and 3), are represented with equal ratios in all the 3 splits, i.e. stratified by difficulty. As shown in Table 3, the zero-shot performance of the RL baselines is poor, which could be attributed to the same reasons why RL baselines underperform in the Joint case. Especially interesting is that the performance of DRRN is substantially lower than that of the Go-Explore Seq2Seq model, even though the DRRN model has access to the admissible actions at test time, while the Go-Explore Seq2Seq model (as well as the LSTM-DQN model) has to construct actions token-by-token from the entire vocabulary of 20,000 tokens. On the other hand, Go-Explore Seq2Seq shows promising results by solving almost half of the unseen games. These results demonstrate both the relative effectiveness of training a Seq2Seq model on Go-Explore trajectories, but they also indicate that additional effort is needed for designing reinforcement learning algorithms that effectively generalize to unseen games.

However, a more complex Hierarchical-Seq2Seq model [Sordoni et al., 2015] or a better encoder representation based on knowledge graphs [Ammanabrolu and Riedl, 2019a; Ammanabrolu and Riedl, 2019b] would likely improve the performance.

Language Based Exploration In Go-Explore, the given admissible actions are used during random exploration. However, in more complex games, e.g. Zork I and in general the Z-Machine games, these admissible actions are not provided. In such settings, the action space would explode in size, and thus Go-Explore, even with an appropriate cell representation, would have a hard time finding good trajectories. To address this issue one could leverage general language models to produce a set of grammatically correct actions. Alternatively one could iteratively learn a policy to sample actions, while exploring with Go-Explore. Both strategies are viable, and a comparison is left to future work.

It is worth noting that a hand-tailored solution for the CookingWorld games has been proposed in the “First TextWorld Problems” competition [Côté et al., 2018]. This solution managed to obtain up to 91.9% of the maximum possible score across the 514 test games on an unpublished dataset. However, this solution relies on entity extraction and template filling, which we believe limits its potential for generalization.

7 Conclusion

In this paper we presented a novel methodology for solving text-based games which first extracts high-performing trajectories using a modified version of phase 1 of Go-Explore and then trains a simple Seq2Seq model that maps observations to actions using the extracted trajectories. Our experiments show state-of-the-art results in three settings, with improved generalization and sample efficiency compared to existing methods. Finally, we discussed the limitations and possible improvements of our methodology, which lead to new research challenges in text-based games.

References


