Modeling Dense Cross-Modal Interactions for Joint Entity-Relation Extraction

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Abstract

Joint extraction of entities and their relations benefits from the close interaction between named entities and their relation information. Therefore, how to effectively model such cross-modal interactions is critical for the final performance. Previous works have used simple methods such as label-feature concatenation to perform coarse-grained semantic fusion among cross-modal instances, but fail to capture fine-grained correlations over token and label spaces, resulting in insufficient interactions. In this paper, we propose a deep Cross-Modal Attention Network (CMAN) for joint entity and relation extraction. The network is carefully constructed by stacking multiple attention units in depth to fully model dense interactions over token-label spaces, in which two basic attention units are proposed to explicitly capture fine-grained correlations across different modalities (e.g., token-to-token and label-to-token). Experiment results on CoNLL04 dataset show that our model obtains state-of-the-art results by achieving 90.62\% F1 on entity recognition and 72.97\% F1 on relation classification. In ADE dataset, our model surpasses existing approaches by more than 1.9\% F1 on relation classification. Extensive analyses further confirm the effectiveness of our approach.

1 Introduction

Extraction of entities and their relations from unstructured raw texts has attracted increasing attention due to its important application on knowledge base population, information retrieval, and question answering [Guo et al., 2019]. Given a sentence, the task aims to find the location and type of mentioned entities, and further detect semantic relations among those entities. For example, in Figure 1, “Tanya” is a person entity (Peop), while “Shabds Hospital” and “Gainesville” are two location entities (Loc) connected by a “Located In” relation.

Traditionally, the task of extracting semantic relations between entities is decoupled into a pipeline of two separated subtasks, namely named entity recognition (NER) [Nadeau and Sekine, 2007] and relation extraction (RE) [Bach and Badaskar, 2007]. Since named entities interact closely with their relation information (two location entities are usually linked with a “Located In” relation), joint models that simultaneously learn NER and RE have been proposed and have achieved promising results [Miwa and Bansal, 2016; Adel and Schütze, 2017; Bekoulis et al., 2018; Li et al., 2019]. However, joint models only capture such cross-modal interaction by learning shared representations via multi-task training, but fail to take label information into account, which turns out to be a significant limitation. For example, if the model knows that “Shabds Hospital” and “Gainesville” are location entities beforehand, it can easily infer there may exist a “Located In” relation between them.

To overcome the problem of insufficient cross-modal interactions, some works [Miwa and Bansal, 2016; Bekoulis et al., 2018] propose to enhance downstream RE performance by leveraging label information extracted from upstream NER process. These approaches adopt simple feature concatenation to fuse label information into contextualized representations, which results in promising performance improvement. However, such naive methods can only learn coarse-grained interactions of cross-modal instances via token-level semantic fusion, but cannot effectively infer the correlation between each token and each tagging label (e.g., it is beneficial that “Shabds Hospital” is aware of “Gainesville” being assigned with “B-LOC” tag). Moreover, token-level self-correlation is also important for both NER and RE, which has been ignored by previous RNN or CNN based models [Wang et al., 2016; Katiyar and Cardie, 2017]. For example, the fact that “Shabds Hospital” is highly relevant to “Gainesville” but less related with “Tanya” is helpful for entity recognition as well as relation classification.

To address the above issues, we propose a deep Cross-Modal Attention Network (CMAN) for joint entity and relation extraction. Inspired by multimodal learning in computer vision [Yu et al., 2019], we view token and label spaces as two different modalities, and attempt to model dense cross-modal interactions over these two spaces. To achieve this, we design two basic attention units: a BiLSTM-enhanced self-attention (BSA) unit that aims to model intramodal interactions across different tokens (token-to-token); and a BiLSTM-enhanced label-attention (BLA) unit that is capable of modeling inter-
modal interactions (label-to-token). BSA is able to build direct connections between two arbitrary tokens in a sentence despite of their distances, while BLA explicitly leverages label-space information to enhance contextualized token representations. Next, we construct the entire model by carefully stacking multiple attention units to form a deep network architecture for fully capturing cross-modal interactions, where gold label information is available only during training and is predicted during inference. Finally, we conducted extensive experiments on CoNLL04 and ADE datasets to evaluate the proposed model. In CoNLL04, our model obtains state-of-the-art results by achieving 90.62% and 72.97% F1 on entity recognition and relation classification respectively. Moreover, our model surpasses existing approaches by more than 1.9% F1 score on relation classification in ADE dataset.

2 Related Work

Joint entity-relation extraction. Due to the existence of close interactions between entity recognition and relation classification, joint models that simultaneously learn NER and RE have outperformed pipelined methods [Miwa et al., 2009] by a large margin. Specifically, Miwa and Bansal [2016] employ bidirectional tree-structured RNNs, which extract relationships between entities based on word order information and dependent tree structure information. Wang et al. [2016] extract relations using multi-level attention CNNs. Then, a novel tagging scheme is proposed to convert the joint extraction problem into a sequence labeling problem [Zheng et al., 2017], which is usually solved by RNNs-based decoding strategies. Yet, this tagging scheme is difficult to handle multiple relationships, which are relatively rare in many datasets. Therefore, Bekoulis et al. [2018] propose a multi-head mechanism to support the prediction of multiple relationships. Compared to these approaches that adopt either RNNs or CNNs-based architecture, our model consists of cascaded attention units that combine bidirectional LSTM with multi-head attention [Vaswani et al., 2017] to better capture correlations between any two modal instances despite of their relative distance.

Label-space information. Recently, label information has been applied to NLP tasks and achieves ideal results. Specifically, label knowledge has been exploited in the text classification task [Wang et al., 2018]. Moreover, Zhang [2019] introduce label embeddings to the NER task. However, label-space information has not been carefully studied in joint entity and relation extraction. Prior approaches [Miwa and Bansal, 2016; Bekoulis et al., 2018] exploit a naive way such as feature concatenation to utilize coarse-grained label. In contrast, we aim to model dense cross-modal interactions over token-label spaces, which delivers significantly better performance.

Multimodal learning. Multimodal learning is widely explored in computer vision and natural language processing. A typical task is visual question answering (VQA) [Antol et al., 2015], which requires the model to perform fine-grained semantic understanding of both the image and the question. For example, Yu et al. [2019] propose modular attention mechanism to capture the interactions of multimodal instances (image and question). Inspired by recent advancements in this field, we regard token and label spaces as two different modalities and attempt to capture cross-modal interactions between them.

3 The Proposed Model

In this section, we introduce the deep Cross-Modal Attention Network (CMAN) in details, which is shown in Figure 2. We first obtain fixed-dimensional representations of token and label from different perspectives (§3.1). Then, we design a BiLSTM-enhanced self-attention unit and a BiLSTM-enhanced label-attention unit (§3.2). These two units are built to explicitly leverage token-label spaces information for modeling cross-modal interactions (e.g., token-to-token and label-to-token). After that, a deep network architecture based on these two units is carefully designed to utilize gold label information during training and predicted labels at inference time (§3.3). Finally, we apply a conditional random filed (CRF) [Lafferty et al., 2001] and a multi-head mechanism [Bekoulis et al., 2018] to perform the decoding for NER and RE (§3.4).

3.1 Representations in Token-Label Spaces

As mentioned above, sequence tokens and tagging labels are viewed as two different modalities, and therefore can be represented with different distributed representations. Below we will present how to construct these representations.

Token Representations

Word embeddings are used to map discrete words into continuous input vectors. Given a sentence containing $n$ words, we map each token in the sentence to a real-valued embedding to express its semantic and syntactic meaning. Besides, we also utilize character embeddings, which is obtained by encoding character sequences with a bidirectional LSTM. Then, the input of each token is a concatenation of character embeddings, word embeddings, and ELMo embeddings [Peters et al., 2018]. In this way, a sequence of input vectors $X \in \mathbb{R}^{n \times d_w}$ can be obtained, where $d_w$ is the token embedding dimension.

Label Representations

We adopt the BIO (Beginning, Inside, Outside) encoding scheme for NER, as illustrated in Figure 1. Motivated by [Miwa and Bansal, 2016], tagging labels are represented with randomly initialized vectors that are fine-tuned during training,
We first present a general architecture that contains BiLSTM where we utilize BiLSTM as the basic encoding component. Given a sequence of input vectors for NLP tasks, as shown in [Katiyar and Cardie, 2017]. Hence, superior in building contextualized representations for various final output features.

3.2 Two Basic Attention Units

We first present a general architecture that contains BiLSTM and multi-head attention for encoding and attending any arbitrary sequence. Then we build two attention units based on this architecture to capture dense correlations among token-label pairs. Specifically, we project hidden representations $H$ as:

$$H = \text{BiLSTM}(X)$$  \hfill (1)

Multi-head attention [Vaswani et al., 2017] has proven to be effective for capturing long-range dependencies by explicitly attending to all positions. Therefore, we apply the multihead attention as the basic attending component for capturing arbitrary correlations. Specifically, we project hidden representations $H = [h_1, ..., h_n]$ into three different representations, namely query, key, and value. Then $z$ parallel heads are employed to capture correlations in different parts of channels:

$$\text{head}_i = \text{softmax}((QW_{i}^Q)(KW_{i}^K)^T \sqrt{2d/z})(VW_{i}^V)$$  \hfill (2)

$$T = \text{Concat}(\text{head}_1, ..., \text{head}_z)W^o$$  \hfill (3)

where $W_{i}^Q \in \mathbb{R}^{2d+2d/z}$, $W_{i}^K \in \mathbb{R}^{2d+2d/z}$, $W_{i}^V \in \mathbb{R}^{2d+2d/z}$, and $W^o \in \mathbb{R}^{2d+2d/z}$ are trainable parameter matrices. Finally, a residual connection [He et al., 2016] along with layer normalization [Ba et al., 2016] is applied on $H$ and $T$ to produce the final output features.

### BiLSTM-enhanced self-attention.

The BSA unit (see Figure 3a) is designed to model token-to-token self-correlations. Taking one group of input token features $X = [x_1, ..., x_n]$, the BiLSTM is first used to capture rich contextual information over token-space. Next, the multi-head attention receives the encoded hidden representations $H = [h_1, ..., h_n]$, and further learns the pairwise relationship between the paired sample $(h_i, h_j)$ within $H$ and finally outputs attended output features by weighted summation across all instances. In summary, the computation of BSA unit can be defined as $X = \text{BSA}(X)$.

### BiLSTM-enhanced label-attention.

The BLA unit (see Figure 3b) is capable of modeling intermodal interactions from label space to token space. It takes two groups of features $X \in \mathbb{R}^{n \times d_x}$ and $L \in \mathbb{R}^{n \times d_l}$ as inputs. The BiLSTM component is first used to encode label features as $\hat{L} = \text{BiLSTM}(L)$. Next, the BLA unit models the pairwise relationship between each paired sample $(x_i, \hat{l}_i)$ within $X$ and $\hat{L}$. Notice that we set token features $X$ as query, and set encoded label features $\hat{L}$ as key and value, so that each token can be fused with relevant label information. The calculation of BLA unit can be summarized as $\hat{X} = \text{BLA}(X, \hat{L})$.

3.3 Dense Cross-Modal Interaction Learning

Taking the aforementioned token features $X$ and label features $Y$ as inputs, we perform dense cross-modal interaction learning by feeding input features into a deep network that contains carefully-designed cascaded attention units. Since there is no label information during entity recognition, we first pass token features $X$ into several BSA units in a recursive manner. 

$$X^i = \text{BSA}^i(X^{i-1}), \forall i \in [1, m]$$  \hfill (4)

where $X^0$ is set as $X$, and $m$ is number of BSA units.

After multiple rounds of encoding, token features $X^m$ contains rich information about self-correlations among input tokens. Thus, it can be directly used for entity recognition. As for relation classification, we further take self-aware token features $X^m$ and label features $L$ as inputs, and utilize a BLA unit to obtain initial label-aware token representations as:

$$\tilde{X}^i = \text{BLA}^i(X^m, L)$$  \hfill (5)
Next, we apply a concatenation-style residual connection [He et al., 2016] on previous input and output token features, and further use another BiLSTM to fuse their semantic meanings:

$$\hat{X}^2 = \text{BiLSTM}([X^m; \hat{X}^1])$$  \hspace{1cm} (6)

Finally, taking $\hat{X}^2$ and $L$ as inputs, we apply another BLA unit to capture deep cross-modal correlations to form the final label-aware token features as:

$$\hat{X}^3 = \text{BLA}^2(\hat{X}^2, L)$$  \hspace{1cm} (7)

Now $\hat{X}^3$ is capable of capturing rich cross-modal interactions, and is suitable for the task of relation classification.

### 3.4 Decoder Layer

The decoder layer is responsible for predicting two subtasks, namely named entity recognition (NER) and relation extraction (RE), which is introduced below.

**NER.** A standard CRF layer is used to predict NER taggings, which takes self-aware token features $X^m = [x^m_1, \ldots, x^m_n]$ as inputs, and outputs a sequence of predicted taggings $Y = [y_1, \ldots, y_n]$. Let $Y'$ denote the set of tagging labels (i.e., BIO scheme), the probability of the tagging sequence can then be calculated as follows:

$$P_Y(Y|X^m) = \frac{\prod_{i=1}^n \phi(y_{i-1}, y_i, X^m)}{\sum_{y' \in Y'} \prod_{i=1}^n \phi(y_{i-1}, y'_i, X^m)}$$  \hspace{1cm} (8)

where $\phi(y_{i-1}, y_i, X^m)$ is the transition score from $y_{i-1}$ to $y_i$ calculated by $\exp(W \phi X^m + b \phi)$, and $W \phi$ and $b \phi$ are trainable weight and bias.

**RE.** We utilize the multi-head mechanism for predicting RE, of which details can be found from [Bekoulis et al., 2018]. Suppose that label-aware token features $\hat{X}^3 = [\hat{x}^3_1, \ldots, \hat{x}^3_n]$ are given as inputs, and $C$ is a set of relation labels. The idea of this mechanism is to predict a score for each tuple $(w_i, w_j, c_k)$, where $w_i$ is the head token, $w_j$ is the tail token, and $c_k$ denotes the $k$-th relation between them. Note that each pair of tokens $< w_i, w_j >$ can have multiple heads, where each head computes a score for one relation. We calculate the score between $w_i$ and $w_j$ given a relation $c_k$ as follows:

$$s(\hat{x}^3_i, \hat{x}^3_j, c_k) = V_k \tanh(U_k \hat{x}^3_i + W_k \hat{x}^3_j + b_k)$$  \hspace{1cm} (9)

where $V_k \in \mathbb{R}^d$, $W_k \in \mathbb{R}^{d \times 2d}$, $U_k \in \mathbb{R}^{d \times 2d}$, $b_k \in \mathbb{R}^d$ are parameters for the $k$-th relation, and $d$ is intermediate hidden size. Next, the probability of token $w_i$ selected as the head of token $w_j$ with the relation $c_k$ is calculated as:

$$P_{R}(\text{head} = w_i, \text{relation} = c_k|w_j) = \sigma(s(\hat{x}^3_i, \hat{x}^3_j, c_k))$$  \hspace{1cm} (10)

where $\sigma$ stands for the sigmoid function.

### 3.5 Training and Inference

During training, we optimize the parameters of the model by minimizing the following conditional likelihood for NER:

$$\mathcal{L}_{ner} = -\log P_Y(Y|X^m)$$  \hspace{1cm} (11)

As for RE, the cross-entropy loss is applied for training:

$$\mathcal{L}_{re} = \sum_{j=1}^n \sum_{i=1}^n o \sum_{k=1}^o -\log P_{R}(\text{head} = w_i, \text{relation} = c_k|w_j)$$  \hspace{1cm} (12)

where $o$ is the number of relations. For the joint entity and relation extraction task, we calculate the objective as:

$$\mathcal{L}_{\text{joint}}(w; \theta) = \mathcal{L}_{ner} + \mathcal{L}_{re}$$  \hspace{1cm} (13)

where $w$ refers to tokens, and $\theta$ denotes model parameters.

Since gold NER tagging information is only available during training, we therefore use pseudo labels predicted by CRF at RE inference time. This method is feasible because that the NER task does not involve label space information, and token-label interactions are only modeled during RE.

To directly compare with previous works, we also apply Adversarial Training (AT) [Bekoulis et al., 2018], which can be used to improve the robustness of neural models by adding small perturbations to training data:

$$\mathcal{L}_{\text{final}} = \mathcal{L}_{\text{joint}}(w; \theta) + \mathcal{L}_{\text{joint}}(w + \eta_{adv}; \theta)$$  \hspace{1cm} (14)

where $\eta_{adv}$ is the worst-case perturbation.

### 4 Experiments

#### 4.1 Dataset

To evaluate the performance of our model, we conduct experiments on two datasets. The first one is the CoNLL04 dataset [Roth and Yih, 2004], which contains sentences with annotated named entities and relations extracted from news articles. There are four entity types in the dataset (“Location”, “Organization”, “Person”, and “Other”) and five relation types (“Kill”, “Live in”, “Located in”, “OrgBased in” and “Work for”). The second one is the ADE dataset [Gurulingappa et al., 2012]. This dataset aims to extract two kinds of entities (“Drugs” and “Diseases”) and relations about which drug is associated with which disease. To directly compare with previous works,
we evaluate our model using 10-fold cross-validation similar to prior approaches on the ADE dataset [Li et al., 2017; Bekoulis et al., 2018].

We adopt standard Precision (Prec), Recall (Rec) and F1 score to evaluate the model. We use the strict evaluation: the boundary and type of extracted entities should be both correct for NER; named entities and the type of their relationships should be both correct for RE.

**Implementation Details**

We regularize our network using dropout with a rate tuned by the development set (the dropout rate is 0.2 for embeddings, 0.1 and 0.3 for BiLSTM on two datasets respectively). We utilize 2 BSA units in our network ($m=2$) and set the dimensionality of hidden size $d$ as 128. We choose 25 as the dimensionality of label embeddings $d_l$. The size of character embeddings is 128, while the dimensionality of ELMo [Peters et al., 2018] is 1024. Adam optimizer with a learning rate of 0.0005 is used to optimize parameters. The training takes 180 epochs for convergence.

**4.2 Quantitative Results**

In this section, we present the performance of different models on two datasets. For the CoNLL04 dataset, we compare the proposed model with several competing approaches, and show the results in Table 1. It can be seen that our model achieves state-of-the-art performance on entity recognition and relation classification by obtaining 90.62 and 72.97 F1 respectively. Compared with prior competing SpERT method [Eberts and Ulges, 2019] that relies on pre-trained language model (BERT) [Devlin et al., 2018], our approach gets absolute F1 improvements of 1.68% and 1.50% on NER and RE respectively.

![Figure 4: Comparison of the baseline and CMAN under different entity distances on the CoNLL04 development set.](image)

We find even stronger performance increases with respect to NER (+7.01%) and RE (+11.02%) when compared to the Multi-head + AT baseline [Bekoulis et al., 2018], which uses feature concatenation for capturing interactions in token-label spaces and applies multi-head mechanism for RE decoding. The above results indicate the effectiveness of our method and suggest that CMAN is able to model dense cross-modal interactions for joint entity and relation extraction.

Table 2 presents the performance comparison between our approach and other competitive methods on the ADE dataset. Compared to the latest SpERT model, our approach only has a slight improvement (+0.15%) on NER. However, it can be found that our proposed model significantly outperforms SpERT by 1.90% F1 on RE. We think the reason may be that the ADE dataset contains less relations than CoNLL04, which is relatively easy for RE.

**4.3 Performance against Entity Distance**

Figure 4 shows F1 scores of the baseline model and CMAN under different entity distances on the CoNLL04 development set. Since Multi-head + AT [Bekoulis et al., 2018] adopts CRF and multi-head mechanism for NER&RE decoding, we therefore set it as the baseline model. The CoNLL04 development set is split into three parts according to the metric of entity distance. We measure distance by computing the absolute character offset between the last character of the first occurring entity and last character of the second entity.

Table 2: The performance of our method and other competing approaches in terms of F1 score on the CoNLL04 dataset. Miwa and Sasaki[2014] 1, Bekoulis et al.[2018] 2, Tran and Kavuluru[2019] 3, Li et al.[2019] 4, Eberts and Ulges[2019]. Results with * indicate that the study apply BERT as their core model.

<table>
<thead>
<tr>
<th>Models</th>
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<th>Relation</th>
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<tr>
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<td>SpERT 5*</td>
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</tr>
<tr>
<td>CMAN (ours)</td>
<td>90.62</td>
<td>72.97</td>
</tr>
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</table>

**Table 1:** Comparison of our method with other competing approaches in terms of F1 score on the CoNLL04 dataset. Miwa and Sasaki[2014], Bekoulis et al.[2018], Tran and Kavuluru[2019], Li et al.[2019], Eberts and Ulges[2019]. Results with * indicate that the study apply BERT as their core model.
the baseline in dealing with entities that are far apart from each other. The reason is that CMAN can detect token-level self correlations by modeling dense intramodal interactions among tokens via the proposed BSA unit. Besides, we can notice that the effect of entity distance on RE is significantly higher than the impact on NER, likely due to that RE relies more on finding relevant distant entities.

4.4 Performance against Sentence Length

To investigate the influence of sentence length, we analyze the performance of baseline model and CMAN under grouped sentence lengths on the CoNLL04 development set, which is shown in Figure 5. Similarly, the Multi-head + AT model is used as the baseline. We partition the sentence length into four groups ([0-19], [20-34], [35-49], [≥50]). We can observe that CMAN performs way better than the baseline under different sentence lengths. Moreover, the improvement achieved by CMAN is further enhanced when the sentence length consistently increases. In particular, CMAN outperforms the baseline by 10.26% and 21.42% F1 score for NER and RE respectively when the sentence length is large than 50. These results demonstrate that CMAN is more effective in terms of long sentences. It also verifies that our model can capture global dependencies of the whole sentence.

4.5 Performance against Label Source

In order to analyze the influence of different label sources, we evaluate our model with either golden labels or predicted labels at inference time on the CoNLL04 test set. To make the comparison fair, we set all hyperparameters unchanged but only feed the model with different NER tagging labels. The result is shown in Table 3. As can be seen from the Table, replacing predicted label with golden label barely changes the performance: the F1 score on NER slightly decreases by 0.18% and F1 on RE decreases by 0.17%. The reason may be that as the F1 score of entity recognition exceeds 90, the predicted label is very much the same as golden one. Therefore, predicted label sources can well carry label-space information, and the model can thus learn correct cross-modal interactions, which leads to unbiased performance.

4.6 Ablation Study

We conduct an ablation study to investigate the effectiveness of our attention units and network architecture in Table 4. Firstly, since the BiLSTM layer in BSA is a necessary component to encode tokens, we only remove the self-attention module to perform the ablation. We can observe that the F1 score drops by 0.46% and 2.79% for NER and RE tasks respectively, indicating self-attention is critical for capturing self-correlations among tokens. Secondly, we ablate the BLA unit and use self-aware token features for both tasks, and find that the performance slightly decreases, showing the beneficial effect of incorporating label-space information. Deleting both of attention units leads to further worse results on NER (-1.28%) and RE (-4.02%), which suggests that modeling dense cross-modal interactions plays a vital role in joint learning. Finally, to test the network architecture, we replace BiLSTM with Multi-Layer Perceptron (MLP) and find that the performance significantly drops to 89.82 and 70.34 F1 scores, implying the importance of building contextualized representations.

5 Conclusion

In this paper, we propose a deep Cross-Modal Attention Network (CMAN) for the task of joint entity-relation extraction. The network aims to capture dense cross-modal interactions by leveraging NER label information, where two basic attention units are proposed to model token-to-token and label-to-token correlations synergistically. We evaluate the proposed method on CoNLL04 and ADE datasets. The results show that CMAN achieves new state-of-the-art performance compared to other competing approaches.

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