# How Hard to Tell? Complexity of Belief Manipulation Through Propositional Announcements

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#### **Abstract**

Consider a set of agents with initial beliefs and a formal operator for incorporating new information. Now suppose that, for each agent, we have a formula that we would like them to believe. Does there exist a single announcement that will lead all agents to believe the corresponding formula? This paper studies the problem of the existence of such an announcement in the context of model-preference definable revision operators. First, we provide two characterisation theorems for the existence of announcements: one in the general case, the other for total preorders. Second, we exploit the characterisation theorems to provide upper complexity bounds. Finally, we also provide matching optimal lower bounds for the Dalal and Ginsberg operators.

## 1 Introduction

The beliefs of a group of agents can be manipulated through *announcements*. For instance, consider politicians that should announce the COVID-19 vaccine campaign organization. In order to prevent the call center to break down, they have to tailor a suitable public announcement to be sure that only people that need the vaccine will call. Such announcement can be difficult to conceive and has to take into account agents with different beliefs and that may have different inconsistent goals, depending on the population backgrounds.

Public announcements have previously been studied in modal logics [Plaza, 2007; Balbiani *et al.*, 2007; Ditmarsch *et al.*, 2008]. However, our focus here is strictly on propositional announcements in the context of formal *belief revision* operators. The problem is still significant in this context, as there are many natural applications involving announcements that do not involve nested beliefs.

We assume that each agent has an initial belief state as well as a formal mechanism for incorporating new information. When an announcement is made, each agent's beliefs change accordingly. Now suppose that, for each agent i, we have an epistemic goal  $\psi_i$ ; that is, we would like the agent i to believe that  $\psi_i$  is true. The *propositional announcement problem* consists in finding a *single* formula  $\phi$  that will ensure that each agent believes their epistemic goal following the announcement of  $\phi$ . In this paper, we are concerned with

the computational complexity of the propositional announcement problem.

In this paper, we present a complete analysis of the computational complexity of the propositional announcement problem (PAP). Specifically, we make the following contributions:

- 1. We show that PAP as formulated in [Hunter *et al.*, 2017] is  $\Sigma_2^p$ -hard, thus closing a problem that was left open.
- 2. We characterize the existence of announcements, both in the general case and for all AGM-style operators.
- 3. We show that, for all AGM-style operators with complexity in  $\mathbf{P}^{\mathrm{NP}}$ , which include Dalal's,  $\Sigma_2^p$  is an upper complexity bound.
- 4. For popular change operators like Ginsberg's [1986] we show that PAP is EXPTIME-complete in general.

In addition to the complexity results, we demonstrate how to construct solutions to announcement finding problems.

**Outline.** The paper is organized as follows. In Section 2, we recall the basics about belief revision. In Section 3, we recall the propositional announcement problem and provide characterization results. In Section 4, we give the upper bound and lower bound complexity results. Finally, in Section 5 we firt present related work and then we conclude.

## 2 Preliminaries

#### 2.1 AGM Belief Revision

We assume a finite set P of propositional variables, called a vocabulary. A belief set over P is a deductively closed set K of propositional formulas, also called a knowledge base; we may view K as a single formula given by  $\bigwedge \{\phi \in K\}$ . The notions of satisfaction and entailment are as usual. Interpretations  $\mu: P \to \{0,1\}$  are also viewed as sets of variables assigned true, i.e.,  $\{p \in P \mid \mu(p) = 1\}$ . We write  $mod(\phi)$  resp. mod(K) to denote the set of interpretations where  $\phi$  resp. K is true (i.e., its models).

An AGM revision operator \* assigns each belief set K and formula  $\phi$  a belief set  $K * \phi$  that satisfies the AGM postulates [Alchourrón *et al.*, 1985]. Semantically, AGM revision operators have been characterized in terms of model selection as follows [Katsuno and Mendelzon, 1992]. For every K and  $\phi$ ,

$$mod(K * \phi) = \min_{\prec_K} (mod(\phi)),$$
 (1)

i.e., the models of the revision are the minimal (closest) models of  $\phi$  in some total preorder  $\mu \preceq_K \mu'$  of the interpretations that fulfill further conditions, viz. (i)  $\mu \models K$  implies for every  $\mu'$  that  $\mu \preceq \mu'$  and if  $\mu' \preceq_K \mu$  then  $\mu' \models K$  and (ii)  $K \equiv K'$  implies  $\preceq_K = \preceq_{K'}$ . As usual,  $\mu \prec_K \mu'$  denotes the strict version of  $\mu \preceq_K \mu'$ : it means  $\mu \preceq_K \mu'$  and not  $\mu' \preceq_K \mu$ . On the other hand, each such total preorder  $\preceq_K$  induces an AGM revision operator.

A canonical example is the Dalal operator [Dalal, 1988], denoted by  $*_d$ . Here the ordering  $\mu \preceq^D_K \mu'$  is based on the *Hamming distance*  $d_H(\nu,\mu)$  between interpretations  $\nu,\mu$ , which is the cardinality of the set  $diff(\nu,\mu) = \{p \in P \mid \nu \models p \Leftrightarrow \mu \not\models p\}$  of variables with different truth values in  $\nu$  and  $\mu$ . Then  $\mu \preceq^D_K \mu'$  iff  $d_H(\mu,K) \leq d_H(\mu',K)$ , where  $d_H(\mu,K) = \min_{\nu \models K} d_H(\mu,\nu)$  is this least Hamming distance between  $\mu$  and any model  $\nu$  of K. Then,  $mod(K *_d \phi)$  are those models  $\mu$  of  $\phi$  such that  $d_H(\mu,K)$  is minimal.

# 2.2 Beyond AGM Revision

Besides AGM revision, many further change operators have been proposed in the literature which do not satisfy the AGM postulates, even in a finite setting cf. [Eiter and Gottlob, 1992; Nebel, 1998]. A prominent such example is Ginsberg's [1986] operator  $*_G$ , which is defined for knowledge bases K and formulas  $\phi$  by

$$K *_G \phi = \{K' \cup \{\phi\} \mid K' \in W(K, \phi)\},$$
 (2)

where  $W(K,\phi)$  are the models comprising the maximal subsets  $K'\subseteq K$  that are satisfiable with  $\phi$ . Semantically,  $K*_G\phi$  is regarded as the disjunction  $K_1\vee\cdots\vee K_n$  of all  $K_i$  in  $K*_G\phi=\{K_1,\ldots,K_n\}$ . Notably, this operator is known as the "flock" approach in databases [Fagin  $et\ al.$ , 1986], and it underlies in varieties consistent query answering from data and knowledge bases [Bertossi, 2019; Bienvenu and Bourgaux, 2016].

It is possible to capture  $*_G$  by model selection as in (1), if we view  $\leq$  as a preference relation (and disregard (ii)). For each model  $\mu$  and knowledge base K let  $K_{\mu} = \{\alpha \in K \mid \mu \models \alpha\}$  be the formulas in K that are satisfied by  $\mu$ .

**Proposition 1.** For every knowledge base K and formula  $\phi$ ,

(i) 
$$W(K, \phi) = \max_{\subset} (\{K_{\mu} \mid \mu \models \phi\}),$$

(ii) 
$$mod(K *_G \phi) = \{ \mu \mid \mu = \arg \max_{\subset, \mu \models \phi} K_{\mu} \}.$$

That is, we can compare models  $\mu$  and  $\mu'$  as follows:  $\mu \preceq_K^G \mu'$  iff  $K_{\mu} \supseteq K_{\mu'}$ . This is in fact a partial ordering, and it leads to the following result:

**Proposition 2.** For any K and formula  $\phi$ ,  $mod(K *_G \phi) = \min_{\prec G} (mod(\phi))$ .

This is just one example. In a similar manner, we can consider generic preference-based operators, i.e., that can be defined by minimal model selection (1) in terms of a preorder  $\leq_K$  that depends on K (possibly embracing conditions such as variable preference, formula protection, etc.).

# 3 The Propositional Announcement Problem

## 3.1 The Basic Problem

We define the announcement problem more formally. Given n agents and n AGM belief revision operators  $*_i$  as input; we

are looking for a consistent formula  $\phi$  such that

$$K_i *_i \phi \models \psi_i, \quad i = 1, \dots, n$$
 (3)

where  $K_i$  and  $\psi_i$  represent the belief set and the goal of the agent i, respectively. If  $\bigwedge_i \psi_i$  is consistent, simply announce it! So the problem is only of interest if  $\bigwedge_i \psi_i$  is inconsistent.

**Example 1.** Consider a pair of "herding" agents  $R_1$  and  $R_2$  that must collaborate to herd a flock of sheep. Each agent acts independently, influenced by a single controller making announcements. Assume the vocabulary is {corner, retrieve}. Informally, a herder will believe corner if the sheep must be cornered so they can not move. A herder will believe retrieve if there is a stray sheep that must be retrieved.

Let the initial beliefs of  $R_1$  and  $R_2$  be as follows:

$$K_{R_1} = \{\neg corner \lor retrieve\}$$
  
 $K_{R_2} = \{\neg corner \lor \neg retrieve\}$ 

Suppose the controller sees one sheep has left the flock. Is there a formula that can be broadcast to immediately get  $R_1$  to retrieve the sheep while  $R_2$  corners the rest of the animals? In other words, is there a formula  $\phi$  such that:

$$\{\neg corner \lor retrieve\} * \phi \models retrieve \\ \{\neg corner \lor \neg retrieve\} * \phi \models \neg retrieve \land corner$$

If we assume both agents use the Dalal revision operator, the answer is yes; we can set  $\phi = corner$ . This instance of the announcement problem has a solution.

The announcement problem was previously considered in [Hunter *et al.*, 2017], where the following basic results are shown.

**Proposition 3.** For all n > 1, there are instances of (3) with no solution.

**Proposition 4.** Let P be a vocabulary and let the number of agents n satisfy  $n < 2^{|P|}$ . Then there is an instance of (3) over P with inconsistent goals that has a solution.

Hence, there are cases with no solution and there are cases with a solution; the question is how hard it is to tell which is the case. We are therefore concerned with the complexity of the following decision problem.

The Propositional Announcement Problem (PAP( $*_i$ ))

**Input:** a list 
$$K_1, \ldots, K_n$$
 of formulas (initial beliefs). a list  $\psi_1, \ldots, \psi_n$  of formulas (goals).

**Ouput:** yes, if some  $\phi$  satisfying (3) exists, no, otherwise.

Note that an instance of the problem is written as  $PAP(*_i)$  to emphasize that it depends on given revision operators  $*_i$ .

In [Hunter *et al.*, 2017], it is proved that this decision problem is in  $\Sigma_2^p = NP^{NP}$  if we restrict attention to the Dalal operator. The hardness of PAP is left open in that paper.

#### 3.2 Characterisation

Recall that all AGM revision operators can be characterised by taking minimal models in some preference ordering. In fact, when we can obtain the revision models as the minimal models of a preorder  $\leq$  (not necessarily a total one, i.e., models may be incomparable; in short by model preference), then we can characterize solutions  $\phi$  to a propositional announcement problem (PAP)  $P = (K_i, \psi_i)_{i=1}^n$  as follows.

**Proposition 5.** Let  $\phi$  be consistent and let P be a PAP with model-preference definable operators  $*_i$ ,  $1 \le i \le n$ . Then a formula  $\phi$  is a solution to P iff for all  $i = 1, \ldots, n$  and  $\mu$ , if  $\mu \models \phi \land \neg \psi_i$  then some  $\mu' \prec_{K_i} \mu$  exists s.t.  $\mu' \models \phi \land \psi_i$ .

The characterisation in Proposition 5 says that models  $\mu$  violating the query  $\psi_i$  must be eliminated by models  $\mu'$  which do satisfy the query and are closer to the knowledge base  $K_i$ .

In fact, without loss of generality, one can limit the solutions to fulfill  $mod(\phi) \subseteq \bigcup_i mod(\psi_i)$  i.e., replace  $\phi$  by  $\phi \wedge \bigvee_i \psi_i$ , because every model that does not satisfy any of the  $\psi_i$  must be eliminated under revision.

**Proposition 6.** If  $\phi$  is a solution to a model-preference definable PAP P, then  $\phi \wedge \bigvee_i \psi_i$  is also a solution of P.

In general, there can be multiple solutions  $\phi$ , of course; however, there are interesting observations.

**Proposition 7.** Every PAP P with model-preference definable operators  $*_i$  has a unique weakest solution  $\phi^*$ , i.e., for every solution  $\phi$ , it holds that  $\phi \models \phi^*$ .

The reason for this is simple: if  $\phi_1$  and  $\phi_2$  are solutions, then also  $\phi_1 \lor \phi_2$  is a solution; in terms of models, the union of the set of models of solutions is also a solution.

#### **Total Preorders**

Smaller solutions with few models might exist, as in the case of Dalal's operator. There, at most n models are needed to find a solution. This can in fact be generalized to operators that are definable by total prorders  $\leq_{K_i}$ , i.e., either  $\mu \leq_K \mu'$  or  $\mu' \leq_K \mu$  holds for all models  $\mu$  and  $\mu'$ .

**Proposition 8.** Let P be a PAP with model-preference definable operators  $*_i$  where all preorders  $\preceq_{K_i}$  are total. Then the following are equivalent:

- 1. P has some solution,
- 2. There is  $(\mu_1, \ldots, \mu_n)$  with  $\mu_i \models \psi_i$  and for all j, either  $\mu_j \models \psi_i$  or  $\mu_i \prec_{K_i} \mu_j$ .
- 3.  $\{\mu_1, \ldots, \mu_n\}$  as in point 2 is a solution of P.

*Proof.*  $(1\Rightarrow 2)$  Let  $\phi$  be a solution. Pick  $\mu_i$  in  $\min_{\preceq_{K_i}}(mod(\phi))$  which is non-empty because  $\phi$  is consistent. As  $\phi$  is a solution,  $\mu_i \models \psi_i$ . Suppose that  $\mu_j \not\models \psi_i$ . We also have  $\mu_j \models \phi$ . By Proposition 5, there exists  $\mu'$  such that  $\mu' \models \phi$ ,  $\mu' \models \psi_i$  and  $\mu' \prec_{K_i} \mu_j$ . As  $\mu_i$  is in  $\min_{\preceq_{K_i}}(mod(\phi))$ , and as  $\mu_i$  and  $\mu'$  are  $\preceq_{K_i}$ -comparable, we must have  $\mu_i \preceq_{K_i} \mu'$ . By transitivity, we have  $\mu_i \prec_{K_i} \mu_j$ .

 $(2\Rightarrow 3)$ . Let us show that  $\min_{\preceq_{K_i}}(\{\mu_1,\ldots,\mu_n\}) \models \psi_i$ . Take a  $\preceq_{K_i}$ -minimal  $\mu_j$ . As we do not have  $\mu_i \prec_{K_i} \mu_j$ , we must have  $\mu_j \models \psi_i$ .

# 4 Computational Complexity

It was shown in [Hunter *et al.*, 2017] that for Dalal's operator, the PAP( $*_d$ ) problem is in  $\Sigma_2^p$ , by exhibiting a non-deterministic guess and check algorithm based on an ad-hoc instance of Proposition 8. However, the authors left the issue open whether this bound can be improved. We show that this bound is tight, proving  $\Sigma_2^p$ -hardness by a nontrivial construction. Furthermore, we establish that the PAP problem is  $\Sigma_2^p$ -complete in general for partial ordering definable operators  $*_i$ 

with complexity in  $P^{NP}$ , while we show that it is EXPTIME-complete for model-preference operators  $*_i$  in general. In particular, EXPTIME-hardness is shown for Ginsberg's operator. Hence, there is a considerable complexity gap between operators that are relevant in practice.

# 4.1 Upper Bounds

## **Total Preorders**

For operators \* definable by total preorders, Proposition 8 implies that we can use a guess and check algorithm to decide the existence of a solution.

**Theorem 1.** Let  $*_i$  be operators that are definable by total preorders  $\preceq_{K_i}$ . If checking  $\mu \preceq_{K_i} \mu'$  is feasible in complexity C, then  $PAP(*_i)$  is decidable in  $NP^C$ .

*Proof.* Consider the following non-deterministic algorithm:

```
\begin{array}{|c|c|c|} \textbf{for } i := 1 \text{ to } n \text{ do} \\ & (\exists) \text{ choose } \mu_i \text{ in } mod(\psi_i) \\ \textbf{for } i, j \in \{1, \dots, n\} \text{ do} \\ & \text{ if } \mu_i \not\models \psi_j \text{ then} \\ & \text{ Check that } \mu_j \preceq_{K_i} \mu_i \land \mu_i \not\preceq_{K_i} \mu_j \end{array}
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The correctness follows by Proposition 8.

For all belief revision operators that satisfy the AGM postulates, it is by Proposition 8 a matter of the complexity of model comparison which may dominate the overall complexity. But in any case, we have a small result for the revision!

ity. But in any case, we have a small result for the revision! In particular for complexity  $C = P^{NP}$ , we obtain from Theorem 1 membership in  $NP^{P^{NP}} = NP^{NP}$ . Applied to Dalal's operator, we thus obtain the following result.

**Corollary 1** (cf. [Hunter et al., 2017]).  $PAP(*_d)$  is in  $\Sigma_2^P$ .

*Proof.* Given an interpretation  $\mu$  and an integer k, deciding  $d_H(\mu,\nu) \leq k$  is in NP. Thus, computing  $d_H(\mu,K)$  is feasible in polynomial time with an NP oracle, and so is deciding  $\mu \preceq_K^D \mu'$ ; Theorem 1 implies membership in  $\Sigma_2^p$ .

For knowledge bases K in special form, the NP-oracle may be eliminated so that lower complexity results. For example, we have the following result.

**Proposition 9.**  $PAP(*_d)$  is in NP, if each  $K_i$  is rewritable into a DNF formula in polynomial time.

Indeed, for a DNF  $\alpha = D_1 \vee \cdots \vee D_n$ , determining the smallest distance  $d_H(\mu, \mu')$  of a model  $\mu$  to any model  $\mu'$  of  $\alpha$  is feasible in polynomial time.

#### **General Case**

**Theorem 2.** Given model-preference definable operators  $*_i$  such that  $\mu' \preceq_{K_i} \mu$  is decidable in exponential time,  $PAP(*_i)$  is in ExpTIME.

Sketch of proof. Consider the following alternating algorithm in which the existential player builds  $\phi$  on the fly, and that checks the assumption of Proposition 5:

```
 \begin{array}{|c|c|} \hline (\exists) \text{ a model } \mu_0 \\ \text{check}(\mu_0,0) \\ \hline \textbf{procedure } \text{check}(\mu,0) \\ \hline & \text{ if } s < 2^{|P|} \text{ then} \\ & (\forall) \ j \in \{1,\dots,n\}: \\ & \text{ if } \mu \not\models \psi_j \text{ then} \\ & (\exists) \ \mu' \text{ in } mod(\psi_j), \ \mu' \prec_{K_j} \mu: \\ & \text{check}(\mu',s+1) \\ \hline \end{array}
```

Note that at stage  $s=2^{|P|}$ , a loop must have been entered, which allows for acceptance. As APSPACE = EXPTIME [Chandra and Stockmeyer, 1976], the check  $\mu' \preceq_{K_j} \mu$  (i.e.,  $\mu' \preceq_{K_j} \mu$  and  $\mu \not\preceq_{K_j} \mu'$ ) is feasible with alternations in polynomial space. Overall, the algorithm runs with alternations in polynomial space, which shows EXPTIME-membership.  $\square$ 

In the alternating PSPACE algorithm above the universal branching is only about polynomially many values. It may be tempting to believe that this branching can be eliminated and simulated deterministically. However, the recursion depth is not necessarily polynomially bounded, so this may end up in exponential space consumption. Notably, even if each relation  $\prec_{K_i}$  is acyclic, their interaction may create a cycle.

**Example 2.** Let  $K_1 = \{\neg evidence \lor guilty\}$  be the prosecutor's and  $K_2 = \{\neg guilty \lor \neg evidence\}$  be the accused's KB in a trial. The judge may announce proper account of evidence  $(\phi = evidence)$  to achieve  $\psi_1 = guilty$  and  $\psi_2 = \neg guilty$  under Dalal's operator. The models  $\mu_1 = \{evidence, guilty\}$  and  $\mu_2 = \{evidence\}$  of  $\phi$  satisfy  $\mu_1 \prec_{K_1} \mu_2$  and  $\mu_2 \prec_{K_2} \mu_1$ .

However, no acyclic paths of length  $2^{|P|}$  are possible.

In case of just two agents and easier preference checking, we interestingly get a better complexity bound:

**Theorem 3.** For a PAP P with n=2 and model-preference definable operators  $*_i$  such that  $\mu' \preceq_{K_i} \mu$  is decidable in polynomial space, deciding solution existence is in PSPACE.

*Proof.* In this case, no alternation in the algorithm above is needed: as  $\mu_i \models \psi_i$  resp.  $\mu' \models \psi_j$ , for at most one  $j \in \{1,2\}$  a guess  $\mu' \in mod(\psi_j)$  will be made; thus  $(\forall)$  can be eliminated deterministically. As checking  $\mu' \preceq_{K_j} \mu$  is in PSPACE, membership in NPSPACE = PSPACE follows.  $\square$ 

# 4.2 Lower Bounds

#### PAP with Dalal's Operator

**Theorem 4.** The PAP( $*_d$ ) problem (all  $*_i$  are  $*_d$ ) is  $\Sigma_2^p$ -hard.

Proof. We reduce the evaluation of QBFs of the form

$$\Phi = \exists X \forall Y E(X, Y), \tag{4}$$

where  $X = X_1, \dots, X_m$  and  $Y = Y_1, \dots, Y_l$  are lists (viewed as sets) of propositional variables in polynomial time to this problem. Without loss of generality, we assume that the following property (see Supplement):

(\*) |Y| is even, and if an assignment  $\sigma$  to  $X \cup Y$  does not satisfy E, it sets exactly half of the variables in Y to 1, i.e.,  $|\{Y_i \in Y \mid \sigma(Y_i) = 1\}| = |\{Y_i \in Y \mid \sigma(Y_i) = 0\}|$ .

We now construct knowledge bases  $K_i$  and formulas  $\psi_i$ ,  $i=1,\ldots,n$  such that deciding whether some formula  $\phi$  exists such that  $K_i *_d \phi \models \psi_i$  encodes the evaluation of  $\Phi$ .

From Proposition 8,  $\phi$  has w.l.o.g. the form  $\mu_1 \vee \cdots \vee \mu_n$ , where each  $\mu_i$  is a model of  $\psi_i$  and the following holds: no  $\mu_j$  that violates  $\psi_i$  will be closer to  $K_i$  than  $\mu_i$ , i.e., satisfy  $d_H(\mu_i, K_i) < d_H(\mu_i, K_i)$ .

In our encoding we need only n=2. The idea is that intuitively, we use the pair  $(K_2,\psi_2)$  to generate a candidate assignment  $\sigma$  to X via a model  $\mu_2$  that will not be closer to  $K_1$  than any model  $\mu_1$  for a solution  $\phi=\mu_1\vee\mu_2$  if  $\neg E(\sigma(X),Y)$  is unsatisfiable, i.e.,  $\forall YE(\sigma(X),Y)$  evaluates to true. Specifically, we define:

$$K_{1} := \left[ \neg E(X,Y) \land \bigwedge_{X_{i} \in X} EQ(X_{i},X^{i}) \right] \lor (B \land Y),$$

$$\psi_{1} := \overline{B} \land Y,$$

$$K_{2} := B \land \overline{Y},$$

$$\psi_{2} := \overline{B} \land \overline{Y} \land \bigwedge_{X_{i} \in X} EQ(X_{i},X^{i}).$$

Here B and the  $X^i$  are disjoint sets of fresh variables, such that 2|B|=|Y| and  $|X^i|=|B|$ ; we write B resp. Y for the conjunction of all variables in B resp. Y, and similarly  $\overline{B}$  resp.  $\overline{Y}$  for the conjunction of all negated variables in B resp. Y (e.g. for  $B=\{B_1,B_2\}$  we have that B stands for  $B_1 \wedge B_2$  and  $\overline{B}$  for  $\neg B_1 \wedge \neg B_2$ ). Furthermore,  $EQ(X_i,X^i)$  expresses that all variables in  $X^i$  have the same value as  $X_i$ :

$$EQ(X_i, X^i) = \bigwedge_{Z \in X^i} (X_i \leftrightarrow Z).$$
 (5)

The purpose of B is to ensure that the distance of a candidate model  $\mu_1$  for  $\phi$  to  $K_1$  is at least |B|=|Y|/2; the purpose of the  $X^i$  is to ensure that the cost of flipping in a model  $\mu_2$  of  $\psi_2$  a variable  $X_i$  to obtain a model of the left disjunct of  $K_1$  is, due to the variables in  $X^i$ , at least |B|+1.

We now argue that  $\Phi$  evaluates to true if and only if the constructed PAP instance has a solution.

( $\Rightarrow$ ) Let  $\sigma$  be an assignment to X such that  $\forall Y E(\sigma(X), Y)$  evaluate to true; hence,  $\neg E(\sigma(X), Y)$  is unsatisfiable. We consider interpretations  $\mu_1$  and  $\mu_2$  as follows:

$$\mu_1 = Y \cup \mu_2 \text{ and } \mu_2 = \bigcup \ \{ \{X_i\} \cup X^i \mid X_i \in X : \sigma(X_i) = 1 \}.$$

Note that  $\mu_i \models \psi_1 \land \neg \psi_2$  while  $\mu_2 \models \psi_2 \land \neg \psi_1$ . Consider now the distances  $d_H(\mu_i, K_j)$  for i, j = 1, 2:

- $d_H(\mu_1, K_1) = |B|$ , as flipping B is enough to arrive at a model of the right disjunct of  $K_1$ ; there is no cheaper cost, as to satisfy the left disjunct of  $K_1$ , by unsatisfiability of  $\neg E(\sigma(X), Y)$  we would need to flip some  $X_i$  and the associated  $X^i$ , causing a distance > |B|;
- $d_H(\mu_2, K_1) > |B|$ : to arrive at a model of the left disjunct of  $K_1$ , since  $\neg E(\sigma(X), Y)$  is unsatisfiable we need to flip some  $X_i$  and its associated variables  $X^i$ , which causes a distance > |B|; to arrive at a model of right disjunct of  $K_1$ , flipping  $B \cup Y$  has cost |B| + |Y|;
- $d_H(\mu_1, K_2) = |B| + |Y|$ : just flip  $B \cup Y$ ;
- $d_H(\mu_2, K_2) = |B|$ : just flip B.

Hence, the disjunction  $\phi = \mu_1 \vee \mu_2$  of the models  $\mu_1$  and  $\mu_2$  is a solution to the PAP from above.

( $\Leftarrow$ ) Suppose that without loss of generality some models  $\mu_1$  and  $\mu_2$  of  $\psi_1$  and  $\psi_2$ , respectively, exist such that their disjunction  $\phi = \mu_1 \vee \mu_2$  yields a solution of the PAP instance.

Then  $\mu_1$  satisfies  $\overline{B} \wedge Y$  and  $\mu_2$  satisfies  $\overline{B} \wedge \overline{Y}$ . Let us consider the distance of  $\mu_1$  to  $K_1$ :

- $d(\mu_1, K_1) \leq |B|$ , as it is sufficient to flip B to satisfy the right disjunct of  $K_1$ ;
- $d(\mu_1, K_1) \ge |Y|/2$ , as if we do not flip B, we must flip exactly half of Y and perhaps some  $X_i$  and  $X^i$  to satisfy  $\neg E(X, Y)$  in the left disjunct of  $K_1$ .

As |B| = |Y|/2 it follows  $d(\mu_1, K_1) = |B| = |Y|/2$ .

Now let  $\sigma$  be the assignment to X s.t.  $\sigma(X_i)=1$  iff  $\mu_2 \models X_i, i=1,\dots,n$ . We argue that  $\forall YE(\sigma(X),Y)$  evaluates to true. As  $\mu_2 \not\models \psi_1$ , we have  $d_H(\mu_1,K_1) < d_H(\mu_2,K_1)$ ; hence  $d(\mu_2,K_1) > |Y|/2$ . As  $K_1$  is of the form  $K_1 = K_{1,1} \lor K_{1,2}$ , each  $K_{1,j}$  must satisfy  $d(\mu_2,K_{1,j}) > |Y|/2$ , in particular  $K_{1,1} = \neg E(X,Y) \land \bigwedge_{X_i \in X} EQ(X_i,X^i)$ . As  $\mu_2 \models \bigwedge_{X_i \in X} EQ(X_i,X^i)$ , this means that whenever exactly half of Y is flipped, the resulting interpretation does not satisfy  $\neg E(X,Y)$ ; hence, for the respective assignment  $\mu$  to Y,  $E(\sigma(X),\mu(Y))$  is satisfied. Hence  $\forall YE(\sigma(X),Y)$  evaluates to true, and so does  $\Phi$ . This proves  $\Sigma_2^p$ -hardness.  $\square$ 

#### Two remarks should be noticed:

- (1) We can rewrite the KBs  $K_i$  and queries  $\psi_i$  to CNFs in polynomial time, if we assume w.l.o.g. that E(X,Y) is in DNF. Thus the  $\Sigma_2^p$ -hardness inherits to the CNF fragment.
- (2) If we assume that E(X,Y) is in CNF and no Y variables occur in E(X,Y), then we can rewrite  $K_1$  and  $K_2$  to DNF (note that we can rewrite in (5)  $EQ(X_i,X^i)$  to a DNF  $(X_i \wedge \bigwedge_{Z \in X^i} Z) \vee (\neg X_i \wedge \bigwedge_{Z \in X^i} \neg Z)$ . This then shows NP-hardness as evaluating the QBF in (4) is NP-hard under these restrictions. Combined with Proposition 9, we thus obtain:

**Theorem 5.** For  $PAP(*_d)$ , deciding solution existence is NP-complete if all knowledge bases  $K_i$  are in DNF.

It is possible to extend the construction in order to have only Horn KBs  $K_i$  and Horn  $\psi_i$ ; the idea is to simulate positive disjunction with choice of an atom for closer distance.

#### PAP with Ginsberg's Operator

We now provide matching lower bounds for the upper bounds for the PAP-problem in the general model preference case from above, specifically for Ginsberg's operator.

**Theorem 6.** For  $PAP(*_G)$ , deciding solution existence is (i) EXPTIME-hard in general and (ii) PSPACE-hard if n = 2.

We sketch a proof of this result, which involves a reduction from the following problem.

A bipartite and-or graph is a tuple  $G=(N_1,N_2,E_1,E_2)$  of disjoint sets  $N_1$  and  $N_2$  of nodes, and of edges  $E_1$  and  $E_2$ , respectively, where any edge in  $E_1$  (resp.,  $E_2$ ) is of the form  $(n_1,n_2,n_2')\in N_1\times N_2\times N_2$  resp.  $(n_2,n_1)\in N_2\times N_1$ . we assume that every node in  $N_1$  occurs in at most one edge of  $E_1$ . Intuitively, the nodes in  $N_1$  are and-nodes and have either

no or two (not necessarily) distinct successors, while  $N_2$  are or-nodes that can have multiple possible successors;

A nonempty set  $N \subseteq N_1 \cup N_2$  of nodes is *serial* in G, if for every  $n_1 \in N \cap N_1$ , some edge  $(n_1, n_2, n_2') \in E_1$  exists and both  $n_2, n_2'$  are in N, and for every  $n_2 \in N \cap N_2$  some  $(n_2, n_1) \in E_2$  exists such that  $n_1 \in N$ .

**Lemma 1.** Let  $\gamma_1(X,Y,Z)$  and  $\gamma_2(Y,X)$ , where  $X = X_1, \ldots, X_n$  and  $Y = Y_1, \ldots, Y_n$ ,  $Z = Z_1, \ldots, Z_n$  are Boolean formulas that describe the edges  $E_1$  resp.  $E_2$  of an and-or graph G with nodes  $N_1 = \{0\} \times \{0,1\}^{n-1}$  and  $N_2 = \{1\} \times \{0,1\}^{n-1}$ . Deciding whether G has some serial node set N is EXPTIME-hard.

Informally, this lemma holds as the and-or graph G may represent computations of an alternating Turing machine T, where nodes represent configurations c. In accepting c's with no successors, T is modified to loop back to the initial configuration  $c_0$ , while other loops are excluded. Then a serial set N exists iff the modified T has a looping run on all branches, i.e., the original T accepts on all branches. As T runs in polynomial space, deciding the latter is ExpTIME-hard.

We now construct a PAP instance as follows:

$$\begin{split} K_1 &= X \cup X' \cup \{\neg X_0\} \text{ and } \\ \psi_1 &= \neg X_0 \wedge Y_0 \wedge Z_0 \wedge \gamma_1(X,Y,Z) \wedge Op'(X;Y;Z); \\ K_2 &= Y \cup Y' \cup \{\neg Y_0\} \text{ and } \\ \psi_2 &= X_0 \wedge \neg Y_0 \wedge Z_0 \wedge \gamma_2(Y,X) \wedge Op'(X;Y;Z); \\ K_3 &= Z \cup Z' \cup \{\neg Z_0\} \text{ and } \\ \psi_3 &= X_0 \wedge Y_0 \wedge \neg Z_0 \wedge \gamma_2(Z,X) \wedge Op'(X;Y;Z); \end{split}$$

where

 $Op'(X;Y;Z) = (X \leftrightarrow \neg X') \land (Y \leftrightarrow \neg Y') \land (Z \leftrightarrow \neg Z').$  Here X',Y' and Z' are copies of X,Y, and Z, respectively, and  $X_0,Y_0,Z_0$  are fresh variables.

Intuitively,  $\psi_1$  describes edges from "universal" nodes in  $N_1$ , while  $\psi_2$  and  $\psi_3$  describe edges from "existential" nodes in  $N_2$ , where the variables X', Y' and Z' serve to create incomparability among the encoding of nodes (to avoid clutter by possible world maximization revision  $*_G$ ). The formulas  $\psi_1$ ,  $\psi_2$  and  $\psi_3$  are pairwise mutually inconsistent via the variables  $X_0$ ,  $Y_0$ , and  $Z_0$ . Thus a move from an and-node  $n_0$  to  $n_1$  and  $n'_1$  expressed by a model of  $\psi_1$  must be followed by a move from  $n_1$  (resp.  $n'_1$ ) to some and-node  $n_2$  (resp.  $n'_2$ ) expressed by a model of  $\psi_2$ . It can be shown that the and-or graph G has a nonempty serial set N iff the PAP( $*_G$ ) given by  $P = (K_i, \psi_i)_{i=1}^3$  has a solution.

Case n=2: with a simplified construction, we can obtain PSPACE-hardness: if each universal state has a single transition i.e.,  $(n_1,n_2,n_2')\in E_1$  implies  $n_2=n_2'$ , we have an ordinary graph (intuitively describing non-alternating computations). The Z variables can then be replaced by Y, and  $K_3$  and  $\psi_3$  can be dropped.

## 4.3 Constructing PAP Solutions

The algorithms above are constructive and can be used to obtain a solution to a PAP. In fact, Theorems 1 and 4 (respectively their proof) establish that computing some PAP solution for AGM-style revision operators is complete for the multi-valued function analog of  $\Sigma_2^p$ , i.e. the search problems

that can be solved by a nondeterministic Turing machine with an output tape and an NP oracle in polynomial time.

For model-preference based PAPs, the solutions obtained may not be of polynomial size. Indeed, as deciding  $K*_G\phi \models \psi$  is  $\Pi_2^p$ -complete [Eiter and Gottlob, 1992], it follows that verifying whether a formula  $\phi$  is a solution of a PAP( $*_G$ ) P has the same complexity. Thus if always some polynomial size  $\phi$  would exist, a guess and check algorithm would put solution existence in  $\Sigma_3^p$  and EXPTIME  $\subseteq \Sigma_3^p$  would follow.

Polynomial-size solutions  $\phi$  can be guessed and the conditions in Proposition 5 checked in exponential time. Note that the weakest solution  $\phi^*$  of a model-preference based PAP P is an envelope, computable by an elimination algorithm:

- 1. Initially, set  $X_0$  to the set of all interpretations.
- 2. Remove then iteratively from  $X_i$  a model  $\mu$ , such that  $\mu \not\models \psi_j$  for some j and no model  $\mu' \in X_i$  with  $\mu' \models \psi_j$  and  $\mu' \prec_j \mu$  exists, i.e., set  $X_{i+1} := X_i \setminus \{\mu\}$ .

Any  $\mu$  in Step 2 is a "bad model" and must be eliminated, as it would be a revision model but fails to satisfy the query. If X(P) denotes the final  $X_i$ , then we have:

**Proposition 10.** For a PAP P with model-preference operators  $\circ_i$ , the weakest solution  $\phi^*$  fulfills  $mod(\phi^*) = X(P)$ , where no solution exists if  $X(P) = \emptyset$ .

#### 4.4 Reasoning About Solutions

It is of interest to know whether a formula  $\alpha$  is a semantic *core* for the solutions, given by  $\alpha \models \phi$  for every solution  $\phi$ , and dually, whether a formula  $\beta$  is an *envelope* for the solutions, given by  $\phi \models \beta$  for every solution  $\phi$ . In a sense, a core describes possible models that must be compatible with every announcement for belief manipulation, while an envelope limits the models that can be used. Based on the results and techniques above, we can show:

**Theorem 7.** Deciding whether  $\alpha$  is a core (resp.,  $\beta$  is an envelope) for a PAP P is (i) EXPTIME-complete for model-preference decidable in exponential time and (ii)  $\Pi_2^p$ -complete for operators  $\circ_i$  definable with total preorders  $\prec_{K_i}$  decidable in  $P^{NP}$ .

In fact,  $\phi^*$  coincides with the logically strongest envelope  $\beta^*$ , i.e.,  $\beta^* \models \beta$  for each envelope  $\beta$ ; it is intuitively the vaguest statement that one can make to accomplish belief manipulation. A formula  $\gamma$  is thus implied by every solution  $\phi$  iff it is implied by  $\phi^*$ . Dually, a unique weakest core  $\alpha^*$  exists, i.e.,  $\alpha \models \alpha^*$  for every core  $\alpha$ , which is equivalent to the conjunction of all solutions. Intuitively, it is a lower bound of vagueness for the announcement, which can be void if e.g. solutions  $\phi$  and  $\phi'$  are incompatible, i.e.,  $\phi \land \phi'$  is unsatisfiable. For deciding whether  $\beta^* \models \gamma$  resp.  $\alpha^* \models \gamma$  holds for given PAP and  $\gamma$ , similar results as in Theorem 7 can be obtained, with the interesting exception that in (ii) deciding  $\alpha^* \models \gamma$  is  $\Sigma_2^p$ -complete by exploiting Proposition 8.

## 5 Related Work

## 5.1 Dynamic Epistemic Logic

Dynamic Epistemic Logic (DEL) refers to a class of modal logics for reasoning about actions and belief [Ditmarsch et

al., 2008]. In DEL, the announcement problem is addressed in arbitrary public announcement logic (APAL) [Balbiani et al., 2007]. Contrary to us, APAL does not provide any revision mechanism, but allows for higher-order knowledge sentences. The model checking problem of APAL is in PSPACE [Ågotnes et al., 2010], but contrary to our case, their input model is represented explicitly. Closer to our setting, the model checking of APAL in its succinct form is NEXPTIME-complete [Charrier and Schwarzentruber, 2015] (see also [Charrier et al., 2019] for a more comprehensive study). The satisfiability problem of APAL is undecidable [French and van Ditmarsch, 2008], but gets decidable when announcements are propositional [van Ditmarsch and French, 2017].

#### 5.2 Non-Modal Belief Revision

Schwind *et al.* [2019] considered a related problem called *inverse revision (IR)*: when is  $K_i *_i \phi = \psi_i$  for all agents i possible, where  $\phi$  and any AGM-style revision operators  $*_i$  can be chosen; the set of all such  $\phi$  is called the *frame*, which is complete if any  $\phi$  and  $\phi'$  are logically equivalent. Checking whether a formula  $\phi$  is in the frame is co-NP-complete, and deciding frame-completeness is  $\Pi_2^p$ -complete.

Apparently, IR solutions are PAP solutions when the operators are fixed, but not the other way around. In fact, the aims of IR and PAP are different: the former wants to achieve an exact local belief state, while PAP aims to achieve goal fulfillment by an announcement. Our complexity results show that for AGM operators, the reasoning tasks can be intertranslated in polynomial time; studying this remains for future work.

## 6 Conclusion

We have considered the complexity of public announcement finding in a non-modal setting, where agents incorporate announcements using a revision operator. We have shown that for all AGM style operators, deciding existence of a solution is always in  $\Sigma_2^p$  and  $\Sigma_2^p$ -hard for Dalal's operator, closing an open problem. Furthermore, we have shown that for all operators definable by preorders, the problem is in ExpTime in general and ExpTime-hard for Ginsberg's operator. Moreover, we proved lower complexity under restrictions.

Our work can be extended in different directions. First, one may consider other revision operators, such as those in [Eiter and Gottlob, 1992] or iterated revision operators [Darwiche and Pearl, 1997; Jin and Thielscher, 2007]. In this context, extending our main results to relations  $\preceq_K$  that always allow for revision models seems possible. Second, besides AGM revision operators Katsuno and Mendelzon [1991] characterized update operators  $\diamond$  as in [Winslett, 1988; Forbus, 1989], which reflect change of the world rather than of the belief about the world, by model selection; specifically,  $mod(K \diamond \phi) = \bigcup_{\mu \models K} \min_{\preceq_{\mu}} (mod(\phi))$ , where  $\preceq_{\mu}$  is a preorder. Using update operators for public announcements is natural; by our techniques, the respective solution existence problems can be solved in exponential time.

Finally, in addition to extending our approach to different revision operators, it would also be interesting to consider the application of the techniques used in this paper in other domains, such as preference aggregation.

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