Densely Cascaded Shadow Detection Network via Deeply Supervised Parallel Fusion

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Abstract

Shadow detection is an important and challenging problem in computer vision. Recently, single image shadow detection had achieved major progress with the development of deep convolutional networks. However, existing methods are still vulnerable to background clutters, and often fail to capture the global context of an input image. These global contextual and semantic cues are essential for accurately localizing the shadow regions. Moreover, rich spatial details are required to segment shadow regions with precise shape. To this end, this paper presents a novel model characterized by a deeply supervised parallel fusion (DSPF) network and a densely cascaded learning scheme. The DSPF network achieves a comprehensive fusion of global semantic cues and local spatial details by multiple attacked parallel fusion branches, which are learned in a deeply supervised manner. Moreover, the densely cascaded learning scheme is employed to refine the spatial details. Our method is evaluated on two widely used shadow detection benchmarks. Experimental results show that our method outperforms state-of-the-arts by a large margin.

1 Introduction

Shadow occurs frequently in natural scenes. Patterns of shadows provide important cues for estimating physical properties of the scene e.g., light source [Lalonde et al., 2010], illumination conditions [Panagopoulos et al., 2012] and scene geometry [Karch et al., 2011]. Understanding regions of shadows can also help to improve downstream vision tasks, such as image segmentation [Ecins et al., 2014]. Moreover, removing shadows from images has many applications in computational photography [Guo et al., 2011; Qu et al., 2017]. Among these tasks, shadow detection—identify regions of shadows, is a core step. We focus on the task of shadow detection from a single image in this paper.

Finding shadows from a single image is very challenging. The problem is fundamentally ill-posed, as scene geometry, surface property and lighting conditions can not be recovered from a 2D image. Early works made use of image prior and physical models of illumination and colors [Finlayson et al., 2009; 2006]. These model based methods will fail if the underlying assumptions, such as Lambertian reflectance, are violated in natural images. To address this challenge, several recent works proposed learning-based approaches [Guo et al., 2011; Zhu et al., 2011; Tumblin and Williams, 2011; Khan et al., 2016; Vicente et al., 2018] for shadow detection. More recently, deep Convolutional Neural Networks (CNN) [Krizhevsky et al., 2012] have been exploited, and significantly advanced the performance [Vicente et al., 2016; Nguyen et al., 2017] on public benchmarks.

Our method follows the same paradigm of learning deep models for shadow detection, and forms the problem as a dense binary labeling of pixels. Our work is different from [Vicente et al., 2016; Nguyen et al., 2017] by revisiting the idea of a fully convolutional network [Shelhamer et al., 2017; Xie and Tu, 2015]. Specifically, we argue that both global context and local appearance are encoded within the hierarchy of a CNN. And we propose a novel architecture for combining these cues for shadow detection. Unlike [Vicente et al., 2016], we use a single network and do not use separate models for global image prior and local patch appearance. Unlike [Nguyen et al., 2017], we show that a binary entropy loss can outperform the adversarial loss with proper design of the network architecture. Fig 1 presents our results.

To this end, we propose a novel Densely Cascaded Deeply Supervised Parallel Fusion (DC-DSPF) network for shadow detection. Our DC-DSPF model equips an existing network [Xie and Tu, 2015] with two key components: (1) the deeply supervised parallel fusion; and (2) the densely cascaded learning. These two components are combined to obtain a better fusion of global context and local appearance in input
images. The deeply supervised parallel fusion network seeks to combine both multi-scale visual features across the hierarchy of the backbone network. The densely cascaded learning scheme aims at integrating global contextual cues.

Specifically, our proposed Deeply Supervised Parallel Fusion (DSPF) network consists of multiple parallel fusion units. Each unit is a backward fusion branch that progressively merges feature maps from a previous unit (with the first one from the backbone network), and generates a full resolution output at the end. Loss functions are attached to all ends. By stacking multiple fusion branches together, DSPF network outputs multi-level feature maps, and learns to best fuse them in a deeply supervised manner [Lee et al., 2015].

Moreover, our densely cascaded learning scheme sticks two DSPF networks together. We concatenate the initial prediction map of the first DSPF network and the original input image as the input of the second one. We also connect the multi-intermediate predictions generated by the parallel fusion branches in the first DSPF network to the corresponding intermediate predictions in the second one [Huang et al., 2017]. In this case, multi-scale features in the previous DSPF network can be directly propagated to the next network. Therefore, the densely cascaded DSPF network can learn to better reason about the spatial context.

To verify our model, we conduct extensive experiments on the standard benchmarks. Our method outperforms the state-of-the-arts by a significant margin on the commonly used SBU [Vicente et al., 2016] and UCF [Zhu et al., 2011] datasets.

2 Densely Cascaded Network via Deeply Supervised Parallel Fusion

This section presents our Densely Cascaded Deeply Supervised Parallel Fusion (DC-DSPF) model for shadow detection. We start by introducing HED which is the basis of our model. Then we describe the key components of our proposed DC-DSPF model.

2.1 HED for Shadow Detection

Our proposed network is derived from the HED [Xie and Tu, 2015]. Thus we start by introducing the HED network. Note that we re-purpose HED for shadow region segmentation.

HED employs a deep CNN with multiple side outputs and generates per-pixel binary predictions. Specifically, HED network computes multi-scale prediction maps at all side-outputs and fuses these intermediate maps as the final output. HED thus leverages multi-scale representations given by the hierarchy of the network, and has been proven effective for detecting image boundaries.

Formally, we denote the training samples as \( \{(X_n, Y_n)\} \), where \( n = 1, \ldots, N \) indexes the samples. \( X_n \) is an input image and \( Y_n = y_j^{(n)}, j = 1, \ldots, |X_n|, y_j \in \{0, 1\} \) is its ground truth shadow label with pixel index \( j \). We may drop the subscript \( n \) when it is clear from the context. HED is fully convolutional. And we denote the union of all convolutional weights in base network as \( W \). HED further attaches \( M \) side-outputs to the network at each scale \( m \). And we denote their parameters as \( w = (w^{(1)}, \ldots, w^{(M)}) \), \( w^{(m)} \) can be considered as an individual linear classifier for features at scale \( m \). For each scale, HED computes \( P(y_j = 1|X; W, w^{(m)}) = \sigma(A_j^{(m)}) \) on its activation map \( A^{(m)} \) at all pixels with sigmoid function \( \sigma(.) \). Their outputs \( \hat{Y}^{(m)} = \sigma(A^{(m)}) \) forms the multi-scale outputs for shadow detection.

**Fusion:** These multi-scale side-output predictions are further linearly combined to generate the output prediction, as

\[
\hat{Y} = \sigma(\hat{A}) = \sigma(\sum_{m=1}^{M} h_m \cdot A^{(m)})
\]

with the fuse loss \( L_{fuse} = l(Y, \hat{Y}) \) as the loss between \( Y \) and \( \hat{Y} \). Therefore, the final loss function of HED is given by

\[
L_{HED}(W, w, h) = L_{side}(W, w) + L_{fuse}(W, w, h)
\]

where \( L_{side}(W, w) \) is the sum of all side-output losses, given by \( L_{side}(W, w) = \sum_{m=1}^{M} l^{(m)}(W, w^{(m)}) \).

**Loss Function:** HED uses weighted cross-entropy loss for each side-output, as a remedy to unbalanced samples. The loss function is given by,

\[
l^{(m)}(W, w^{(m)}) = -\beta \sum_{y_j \in Y_+} \log P(y_j = 1|X; W, w^{(m)}) - (1 - \beta) \sum_{y_j \in Y_-} \log P(y_j = 0|X; W, w^{(m)}),
\]

where \( \beta = |Y_-|/|Y| \) and \( 1 - \beta = |Y_+|/|Y| \). \( Y_+ \) and \( Y_- \) are the sets of mask and non-mask labels.

**Enhanced HED network:** We start with ImageNet pre-trained VGG16 network [Simonyan and Zisserman, 2014] for HED, and use an improved version from [Hou et al., 2017] for shadow detection. Specifically, we add two additional convolutional layers at each side output of HED. Moreover, we use larger kernel size in higher layers as suggested by [Hou et al., 2017]. For the five blocks of HED, we set the kernel sizes of the newly added convolutional filters as 3x3, 3x3, 5x5, 5x5, and 7x7. We name this new version as Enhanced-HED and use it as the backbone for our proposed method.

2.2 Densely Cascaded Network via Deeply Supervised Parallel Fusion

The linear fusion of multi-scale outputs in HED does not fully capture the rich interactions between global context and local appearance. Thus, we propose a novel model that consists of two key components: (1) a deeply supervised parallel fusion network; and (2) a densely cascaded learning scheme.

**Deeply Supervised Parallel Fusion network:** We propose a Deeply Supervised Parallel Fusion (DSPF) network to replace the linear fusion in HED and better capture global and
Figure 2: Overview of our DSPF network. Based on multi-scale feature maps of HED, DSPF network stacks multiple parallel backward fusion branches. DSPF network thus outputs multiple intermediate predictions via these parallel fusion pathways, with each of them supervised by its loss function. Accurate shadow segmentation is achieved by fusing these intermediate predictions (see links in red, blue, purple).

Figure 3: A basic unit of a backward fusion module in our DSPF network. This unit upsamples feature map from an upper layer and concatenates it with the feature map from current layer.

Local cues. Fig 2 presents an overview of our proposed DSPF network. In order to combine global semantics with local appearance, DSPF network stacks multiple parallel fusion units, and learns to produce multi-level intermediate predictions in a deeply supervised manner. Then the final fusion of these intermediate predictions thus effectively combines both global semantic cues and local spatial details of input images.

More concretely, our DSPF network contains three parallel backward fusion branches. We observe that deeper layers in HED encode rich global semantic cues, while lower layers capture more local spatial details. To obtain a comprehensive fusion of these hierarchical feature maps, and inspired from [Pinheiro et al., 2016; Wang et al., 2017b], we first utilize a backward fusion branch $Branch^{(1)}$ to progressively combine these multi-scale features in a top-down manner. This fusion pathway starts from the deepest block of HED, and generates the first fusion map by transferring feature map of this deepest HED block with a $1 \times 1$ convolutional layer. The produced fusion map is then combined with the feature map in adjacent HED block via a backward fusion module. In this way, this branch progressively combines feature maps in every two adjacent blocks of HED from deeper-layer to lower-layer with the backward fusion module.

Specifically, we denote the fusion map from $i$th block as $F_i$. Thus, $F_{i+1}$ is the map from upper block with reduced resolution. Our backward fusion module is given by,

$$F_{i_{fusion}} = Conv(Concat(F_i, Up(F_{i+1_{fusion}})))$$  \hspace{1cm} (3)

where $Up(\cdot)$ denotes the up-sampling of a feature map. $Concat(\cdot)$ means the concatenation of two feature maps which have the same resolution. $Conv(\cdot)$ refers to the $1 \times 1$ convolution operation. We also show the details of this backward fusion module in Fig 3. For these two adjacent feature maps, we first up-sample $F_{i+1}$ to match the resolution of $F_i$, then we merge these two feature maps by concatenation. Finally, another convolutional layer is employed to generate final fused feature map $F_{i_{fusion}}$ of current $i$th block.

$Branch^{(1)}$ runs from deeper layers to lower layers until the first block of HED by utilizing this backward fusion module recursively. Thus feature maps in higher-level layers are progressively combined with features in lower-level layers via these recursive fusion operations. Simultaneously, the feature resolution is also enlarged gradually. Global semantics in deeper layers are thus gradually integrated with spatial details in lower layers. Hence, the final fusion map of $Branch^{(1)}$ achieves a good fusion of the multi-scale features across the hierarchy of the base HED network. We can predict the initial response map $\hat{Y}^{(1)}$ utilizing this final fused map which has the same resolution as the input image.

$Branch^{(1)}$ computes between every two adjacent blocks at multiple stages in a top-down manner. These multi-stage fusion maps also preserve multi-scale features, which are beneficial to obtain a better fusion of global semantics and local details in input images. We thus propose to stack another backward fusion branch $Branch^{(2)}$ to further fuse these multi-stage fusion maps generated by $Branch^{(1)}$. $Branch^{(2)}$ also runs in a top-down way and combines features of every two adjacent blocks of $Branch^{(1)}$ via the backward fusion module until the last block of $Branch^{(1)}$. Similar with $Branch^{(1)}, Branch^{(2)}$ produces the second response map $\hat{Y}^{(2)}$ utilizing the final fusion map of this branch. Furthermore, $Branch^{(2)}$ also generates multi-scale fusion maps at multiple stages. So we stack the third backward fusion branch $Branch^{(3)}$ for further fusion. Then we can obtain the third prediction $\hat{Y}^{(3)}$ using the final fusion map.

These three intermediate shadow predictions $\hat{Y}^{(1)}, \hat{Y}^{(2)}, \hat{Y}^{(3)}$ generated by the corresponding branches $Branch^{(1)}, Branch^{(2)}, Branch^{(3)}$ receive direct supervision from multiple loss functions. With the help of this deep supervision scheme, these three intermediate predictions are enforced to capture multi-level cues. Then we can obtain the final shadow map by a weighted fusion of these three intermediate responses.
Densely cascaded learning scheme: As shown in Fig 1, global contextual cues are important to segment shadows from noisy background in natural images. In order to make the model robust to various backgrounds, we propose to integrate rich contextual cues of input images into our model. Inspired by auto-context [Tu and Bai, 2010; Li et al., 2016], we propose a densely cascaded learning architecture to stack multiple DSPF networks together. This densely cascaded learning scheme specifically densely connects multiple intermediate predictions of a previous DSPF network to the next DSPF network. For a trade-off between efficiency and accuracy, we utilize two DSPF networks to form the final model via this densely cascaded learning scheme. The whole network is denoted as DC-DSPF network, and we present the details in Fig 4.

Given an input image $I$, the first DSPF network produces initial prediction map $\hat{Y}$, which is a weighted fusion of the three intermediate predictions $\hat{Y}^{(1)}$, $\hat{Y}^{(2)}$, $\hat{Y}^{(3)}$. The second DSPF network is stacked after the first one to introduce contextual cues into our model. Specifically, $\hat{Y}$ is concatenated with original input image $I$ as the input of this second DSPF network. Moreover, these three intermediate predictions $\hat{Y}^{(1)}$, $\hat{Y}^{(2)}$, $\hat{Y}^{(3)}$ of the first DSPF network are summed with three corresponding predictions $Y^{(1)}$, $Y^{(2)}$, $Y^{(3)}$ of the second DSPF network respectively.

$$\hat{Y} = \hat{Y}^{(1)} + \hat{Y}^{(2)} + \hat{Y}^{(3)},$$

Finally, $\hat{Y}$ is further summed with the initial estimation $\hat{Y}$ as final output of our DC-DSPF network:

$$\hat{Y} = \hat{Y} + \hat{Y}'.$$

Through this densely connected cascade learning scheme, multi-scale feature maps encoded in the first DSPF network are effectively propagated to the second DSPF network. The whole network thus implicitly learns the spatial contextual cues in input images.

2.3 Implementation Details

We now describe our training details for DC-DSPF. All our models are trained using Caffe [Jia et al., 2014] as backend. We first train HED for segmenting shadow, using the public implementation of HED [Xie and Tu, 2015]. The hyper-parameters, including the initial learning rate, weight decay and momentum, are set to 1e-8, 2e-4 and 0.9, respectively. Our DSPF network is initialized from the trained HED network. And our DC-DSPF is further trained on top of DSPF. The hyper-parameters of DC-DSPF are set to 1e-8, 2e-4 and 0.99 respectively for the initial learning rate, weight decay and momentum. All new convolutional layers are initialized with Gaussian random distribution with fixed mean (0.0) and variance(0.01). We apply random flipping for data augmentation during training.

3 Experiments and Results

We introduce our benchmark on shadow detection, and present two main results: (1) an ablation study of our method; and (2) a comparison of our method to the state-of-the-art methods. In addition, we demonstrate preliminary results of using the detected shadow regions for shadow removal.

3.1 Datasets and Evaluation Metrics

Datasets: We evaluate our method on two widely used benchmarks: SBU [Vicente et al., 2016] and UCF [Zhu et al., 2011]. UCF dataset consists of 245 images. Moreover, SBU dataset contains 4089 training images and 639 testing images. SBU is currently the largest and most challenging dataset for shadow detection. It covers various scenes including urban, beach, mountain, roads, parks, snow, animals, vehicles, and houses, and also contains various picture types including aerial, landscape, and close range.

Protocol: Following the evaluation protocol of [Vicente et al., 2016], we train our models on SBU training set, and evaluate the trained models on SBU testing set and UCF testing set. We use the weighted cross-entropy loss for all loss functions. In this deeply supervised scheme, the final shadow map is a weighted fusion of the predictions $\hat{Y}$, $\hat{Y}$, and $\hat{Y}$ of three networks.

$$L_{DSPF} = L_{Branch(1)} + L_{Branch(2)} + L_{Branch(3)} + L_{fuse},$$

where

$$L_{fuse} = l(Y, \hat{Y})$$

is the loss between ground truth $Y$ and prediction $\hat{Y}$, the $L_{Branch(1)}$, $L_{Branch(2)}$, $L_{Branch(3)}$ are the losses for Branch(1), Branch(2), Branch(3) respectively. Note that since there are more negative non-shadow pixels than positive shadow pixels, as in HED [Xie and Tu, 2015], we use the weighted cross-entropy loss for all loss functions. In this deeply supervised scheme, the final shadow map $\hat{Y}$ learns to capture rich global semantic cues and local spatial details in an input image.
Figure 5: Visual comparison between shadow detection results of DC-DSPF and Triple-Fusion-DSPF. With the densely cascaded learning architecture, DC-DSPF captures rich contextual cues and accurately corrects the easily misclassified regions.

Note that testing on UCF dataset is a more challenging set as it requires a method to generalize across datasets.

Metric: We employ the Balanced Error Rate (BER) as the main evaluation metric, given by

$$BER = 1 - \frac{1}{2} \left( \frac{TP}{TP + FN} + \frac{TN}{TN + FP} \right),$$

where $TP, FN, TN,$ and $FP$ are true positives, false negatives, true negatives, and false positives, respectively. BER is widely accepted due to the unbalanced nature of shadow data: there are much fewer shadow pixels than non-shadow ones in natural images. We also report separate per-pixel Error Rates (ER) for shadow and non-shadow pixels.

### 3.2 Ablation Study

We first evaluate different components of our method to better understand the proposed model. All results for our ablation study is trained on SBU training set and reported on SBU testing set. Specifically, we compare the following methods and their results are summarized in Table 1.

**HED and Enhanced-HED:** HED and Enhanced-HED are the backbone for our network, thus we report their results as the baseline. Compared to HED, Enhanced-HED significantly reduces the error of BER by 0.9. This result confirms the benefit of larger receptive field as suggested in [Peng et al., 2017] and [Hou et al., 2017].

**DSPF Network:** Based on Enhanced-HED, we further add our Deeply Supervised Parallel Fusion architecture (DSPF). We also vary the number of backward fusion branches from 1 to 3, denoted as Single/Double/Triple Fusion DSPF. Single fusion reduces the error of Enhanced-HED by 0.3 BER. Double and triple further reduces the error of Single-Fusion-DSPF by another 0.2 and 0.5, respectively. These results demonstrate that (1) the proposed backward fusion branch is highly effective; and (2) stacking multiple fusion branches helps to improve the performance.

<table>
<thead>
<tr>
<th>Methods</th>
<th>BER</th>
</tr>
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<tbody>
<tr>
<td>HED</td>
<td>6.9</td>
</tr>
<tr>
<td>Enhanced-HED</td>
<td>6.0</td>
</tr>
<tr>
<td>Single-Fusion-DSPF</td>
<td>5.7</td>
</tr>
<tr>
<td>Double-Fusion-DSPF</td>
<td>5.5</td>
</tr>
<tr>
<td>Triple-Fusion-DSPF</td>
<td>5.2</td>
</tr>
<tr>
<td>Triple-Fusion-PF</td>
<td>5.6</td>
</tr>
<tr>
<td>Triple-Fusion-DSPF</td>
<td>5.2</td>
</tr>
<tr>
<td>DC-DSPF</td>
<td>4.9</td>
</tr>
</tbody>
</table>

Table 1: Ablation study on SBU testing set with different network architectures. The combination of the proposed parallel fusion (DSPF) and the cascaded learning produces the best result. Our full model reduces the BER by 2.0 compared to the strong baseline of HED.

**Deep Supervision:** We also test the effectiveness of deep supervision. Specifically, we take the Triple-Fusion-DSPF network, remove its losses for the intermediate prediction outputs at the first two backward fusion branches, and supervise the whole network only with the loss of the third backward branch. This network, called Triple-Fusion-PF (without supervision), is significantly worse than Triple-Fusion-DSPF (0.4 drop in BER). The result shows the superiority of the deeply supervised learning [Lee et al., 2015].

**DC-DSPF Network:** Finally, we combine our best model (Triple-Fusion-DSPF) with densely cascaded learning scheme. This DC-Triple-Fusion-DSPF network further reduces the error rate by 0.3, reaching a BER of 4.9. To further understand this gap, we visualize the results of both methods in Fig 5. This result supports our design of cascaded learning.

### 3.3 Comparison to State-of-the-art Methods

We further compare our model of DC-DSPF network with three state-of-the-art shadow detection methods: StackedCNN [Vicente et al., 2016], scGAN [Nguyen et al., 2017], and

Figure 6: Visual comparison between DC-DSPF, the baseline HED and state-of-the-art stackedCNN, scGAN. DC-DSPF significantly improves the segmentation quality, captures more fine details of shadow regions and suppresses most noises from background.
Table 2: Comparison to state-of-the-art methods on SBU and UCF testing sets. DC-DSPF consistently outperforms previous methods.

<table>
<thead>
<tr>
<th>Methods</th>
<th>SBU</th>
<th>UCF</th>
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<tr>
<td></td>
<td>BER</td>
<td>Per-Pixel ER</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Shad.</td>
</tr>
<tr>
<td>StackedCNN</td>
<td>11.0</td>
<td>9.6</td>
</tr>
<tr>
<td>scGAN</td>
<td>9.1</td>
<td>7.8</td>
</tr>
<tr>
<td>DSC</td>
<td>5.6</td>
<td>-</td>
</tr>
<tr>
<td>HED</td>
<td>6.9</td>
<td>7.0</td>
</tr>
<tr>
<td>DC-DSPF</td>
<td><strong>4.9</strong></td>
<td><strong>5.1</strong></td>
</tr>
</tbody>
</table>

Table 3: Comparison to state-of-the-art dense labeling methods for semantic segmentation (PSPNet) and saliency detection (Amulet, SRM). Results are reported on SBU and UCF testing set. Moreover, we include the HED network as a baseline. The results are presented in Table 2.

<table>
<thead>
<tr>
<th>Methods</th>
<th>SBU</th>
<th>UCF</th>
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<tr>
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<tr>
<td>PSPNet</td>
<td>8.6</td>
<td>11.8</td>
</tr>
<tr>
<td>Amulet</td>
<td>15.1</td>
<td>15.2</td>
</tr>
<tr>
<td>SRM</td>
<td>7.3</td>
<td>9.8</td>
</tr>
<tr>
<td>HED</td>
<td>6.9</td>
<td>9.5</td>
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<tr>
<td>Our DSPF</td>
<td><strong>4.9</strong></td>
<td><strong>7.9</strong></td>
</tr>
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Figure 7: Qualitative examples of single image shadow removal using shadow masks produced by our method.

3.4 Results of Shadow Removal

Finally, we make use of our output shadow mask for the application of shadow removal in a static image. We employ the method in [Corina B. et al., 2011]–a simplified version of [Guo et al., 2011]. As [Corina B. et al., 2011] denotes that shadow detection remains a challenge for obtaining shadow-free images. The performance of shadow detection results directly influences the quality of shadow removal results. We supply the shadow mask generated by our DC-DSPF network and original image as the input of this method, and produce the shadow-free results. Qualitative examples are shown in Fig 7. These examples suggest that our precise shadow detection enables good shadow removal results.

4 Conclusion

In this work, we consider the challenging problem of shadow detection. We propose a novel DC-DSPF network that combines a DSPF network and a densely cascaded learning architecture. The DSPF network stacks multiple parallel fusion branches, and learns a comprehensive fusion of global semantic cues and local spatial details in a deeply supervised way. Moreover, the densely cascaded learning scheme helps to capture rich contextual cues. Experimental results show that our DC-DSPF network significantly outperforms state-of-the-art methods on major benchmarks.

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