Keep the Structure: A Latent Shift-Reduce Parser for Semantic Parsing

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Abstract

Traditional end-to-end semantic parsing models treat a natural language utterance as a holonomic structure. However, hierarchical structures exist in natural languages, which also align with the hierarchical structures of logical forms. In this paper, we propose a latent shift-reduce parser, called LASP, which decomposes both natural language queries and logical form expressions according to their hierarchical structures and finds local alignment between them to enhance semantic parsing. LASP consists of a base parser and a shift-reduce splitter. The splitter dynamically separates an NL query into several spans. The base parser converts the relevant simple spans into logical forms, which are further combined to obtain the final logical form. We conducted empirical studies on two datasets across different domains and different types of logical forms. The results demonstrate that the proposed method significantly improves the performance of semantic parsing, especially on unseen scenarios.

1 Introduction

Semantic parsing plays a key role in online querying systems. The purpose of semantic parsing is to convert a natural language (NL) query into a machine-interpretable meaning representation, which is also called logical form (LF). As a trend in the last few years, neural semantic parsers have been widely studied. The structural basis of a neural parser is a sequence-to-sequence model, which uses an encoder to comprehend NL sentences and a decoder to generate their corresponding LFs [Jia and Liang, 2016; Dong et al., 2018; Shaw et al., 2019]. Though these methods show high accuracies on simple queries, they tend to show lower performance on relatively complex queries [Talmor and Berant, 2018]. On the one hand, a complex query shows higher diversity on both meaning and sentence structure, which requires high generalization ability of encoder models to understand the structure and long-term dependencies correctly. On the other hand, generating long LFs is much harder than generating short LFs, which demands high performance of decoder models to produce complex LFs at once.

On consideration of the well-defined hierarchical structure of logical form representations, prior studies explored the possibility of generating logical forms hierarchically, e.g., via tree-based decoding [Dong and Lapata, 2016] or coarse-to-fine decoding [Dong and Lapata, 2018]. These methods free semantic parsers from making long-sequence predictions at once, resulting in a simpler decoding procedure. However, the difficulty of understanding complex queries with long-term dependency still exists.

Similar to a logical form representation, the underlying structure of a natural language utterance is also hierarchically organized, i.e., some smaller spans are nested within larger spans. For example, as shown in Figure 1, the complex query “What is the area of the Asia country that has the max population?” can be split into several spans according to its hierarchical structure. By parsing each span into sub LF separately and composing them under the same hierarchical structure, a complex LF can be obtained. When such local alignment exists between the hierarchical structures of NL queries and LFs, it is possible to reduce the burden of semantic parsing by adding this kind of alignment to the parsing process.

In this paper, we propose a novel neural Latent Shift-Reduce Parser (LASP) for semantic parsing, which explicitly models the local alignment between hierarchical structures of NL queries and LFs. Given an NL query, LASP first uses a shift-reduce splitter to read tokens by SHIFT operations and detect the hierarchical structure. Once a semantic span is detected, LASP takes a REDUCE operation to convert it into a
Our proposed LASP has three advantages: (1) It decomposes a complex NL query into several spans according to its hierarchical structure, and the structure also aligns with that of LF. This decomposition diminishes the demand for complex model design and numerous training data. (2) It is a latent shift-reduce parsing model. The only supervision needed is the complete utterances, not the detailed splitting points. (3) The base parser and shift-reduce splitter are optimized iteratively during training. The two modules promote each other during the training process to achieve self-adaptation. We conduct experiments on two datasets that show improvement against the state-of-the-art parsers.

2 Methodology

2.1 Latent Shift-Reduce Parser

Latent Shift-Reduce Parser (LASP) is a compositional semantic parsing approach where a complex natural language (NL) query can be decomposed into multiple spans and solved separately. The LASP consists of two modules, i.e., a shift-reduce splitter transforms a sequence of NL tokens into several NL spans in a hierarchical tree structure, and a base parser converts an NL span into an LF representation. Figure 2 shows the illustration of LASP with a real example. Given an NL query, the shift-reduce splitter reads it token by token and detects whether a semantic-complete span is loaded. Once a span is detected out, it is fed into the base parser to produce the corresponding sub logical form (sub LF) and span semantic vector. The span semantic vector stands for the semantic meaning of the span and takes the place of the span in the original NL query. For example, in Figure 2, “<vec>” takes the place of the span “country in Casey Time Zone” in the next iteration. This process goes iteratively until the whole NL query is parsed. At last, all the sub LFs are combined to generate the complete LF of the original NL query. Next, we will introduce the simple base parser in Section 2.2 and the shift-reduce splitter in Section 2.3 in detail.

2.2 Base Parser

Let $x = (x_1, x_2, \cdots, x_N)$ denote the sequence of an NL span. The element $x_n$ can either be an NL token or a span semantic vector from the previous iteration. The base parser aims at converting $x$ into its corresponding logical form $y$ and generating a new span semantic vector $\nu$ to represent $x$.

For spans consisting of NL tokens only, we employ a simple sequence-to-sequence model as the base parser. At first, the span $x$ passes by a token embedding layer and the output embedding vectors are denoted as $u = (u_1, u_2, \cdots, u_N)$. On top of the token embedding layer, we use a bi-directional Recurrent Neural Network (RNN) as the encoder, whose basic unit is Gated Recurrent Units (GRU). The span semantic vector $\nu$ is produced by the encoder as an abstract semantic representation of the span $x$:

$$\nu = \text{BiGRU}_{\text{enc}}(u).$$  \hspace{1cm} (1)

Another GRU model is used as the decoder and generates the logical form $y$:

$$y = \text{GRU}_{\text{dec}}(v).$$  \hspace{1cm} (2)

As mentioned, due to the compositional structure of LASP, the base parser is designed to support span semantic vector as input in addition to NL tokens. For the sake of simplicity, we leverage an MLP layer to transfer the span semantic vectors into a hidden vector, which has the same dimension as token embedding vectors.

2.3 Shift-Reduce Splitter

We employ a shift-reduce splitter to capture the compositionality of NL queries and decompose them into spans. Shift-reduce parsing algorithm is capable of transforming a sequence of NL tokens into a tree that represents its hierarchical structure. This structure aligns with the structure of its corresponding LF. The shift-reduce splitter splits a complex NL query into several spans according to its hierarchical structure, and each of them is parsed separately.

Our proposed shift-reduce splitter takes the form of a generative model, which predicts an action sequence given an NL query. Similar to a standard shift-reduce parsing algorithm, our presented shift-reduce splitter is initialized with an empty stack ($S$) and a buffer ($B$) containing all tokens of the NL query. In addition, we use a sub LF list ($L$) to store all parsed sub LFs. At each step, the shift-reduce splitter chooses an action among the three ones:

- **Shift** pops out the first token from the buffer $B$ and pushes it into the stack $S$.
- **Reduce**($k$) pops out $k$ tokens from the top of the stack $S$ to form a span, where $k$ is a parameter to be predicted. We set the maximum length of a span to be $K$. This span is parsed by the base parser to a sub LF, which is stored in the sub LF list $L$.
- **FIN** is a shortcut to **Reduce**, which pops out all elements from the stack $S$ and finishes the shift-reduce process. It can break the span length limitation of **Reduce**.

The shift-reduce splitter takes action step by step until the buffer is empty and only one vector is left in the stack. Then
we get a sequence of actions $a = (a_1, a_2, \cdots, a_T)$, called a trajectory.

Besides NL tokens themselves, both stack $S$ and buffer $B$ stores a meaning representation vector for each token. Let $w = (w_1, w_2, \cdots, w_M)$ denotes the token sequence of the NL query. We use a BiGRU model as encoder to convert NL tokens into their meaning representation vectors $e = (e_1, e_2, \cdots, e_M)$:

$$e = \text{BiGRU}_{\text{splitter}}(w),$$

which helps each token to absorb contextual information of the whole query.

Additionally, at step $t$, a state vector $s_t$ is stored by the stack $S$ to represent its current state. Due to the dynamics of $S$, a StackGRU is adopted to compute this state vector. A StackGRU is an extension of a standard GRU model, which supports dynamic changes caused by the push and pop operations of a standard stack. Specifically, if a SHIFT operation is taken, the new hidden state $s_t$ is updated by the last hidden state $s_{t-1}$ in the stack (i.e., $s_5$ in Figure 3 top) and the pushed NL token (i.e., $e_6$ in Figure 3 top). If a REDUCE operation is taken, we firstly pop out $k$ tokens from the stack and summarize them into a span semantic vector $v$. Then, StackGRU takes the last hidden state in the stack $s_{-1}$ (i.e., $s_2$ in Figure 3 bottom) and the span semantic vector $v$ to update the new stack state $s_t$, where the subscript $-1$ indicates the last (top) element of the stack.

$$s_t = \begin{cases} 
\text{StackGRU}(s_{-1}, e^t) & \text{if } a_t = \text{SHIFT} \\
\text{StackGRU}(s_{-1}, v) & \text{if } a_t = \text{REDUCE} 
\end{cases}$$

As a result, for a SHIFT action, we form a new stack element as $(w^t, e^t, s_t)$, where $w^t$ denotes the NL token that enters the stack at step $t$ and $e^t$ is its representation vector. For a REDUCE action, we form the new element as $(\langle \text{placeholder} \rangle, v, s_t)$. Then, the newly generated stack element is pushed into the top of the stack.

To decide which action to take at each step, we adopt a two-stage process for the shift-reduce splitter: firstly, the splitter selects an action among SHIFT, REDUCE and FIN; and secondly, the reducing length $k$ is decided if the action REDUCE is selected in the first stage. We will introduce them in turn.

Stage 1. At step $t$, the action $a_t$ is predicted based on the information from both the stack $S$ and the buffer $B$. We concatenate three vectors to obtain a state representation vector $z_t$ that represents the current state of the shift-reduce splitter: the hidden state of the stack’s last element ($s_{-1}$), the token representation vector of the stack’s last element ($e_{-1}$) and the token representation vector of the buffer’s first element ($e^t$). Then, the state representation vector is transformed with a multilayer perceptron (MLP) to decide this high-level operation.

$$z_t = [s_{-1}; e_{-1}; e^t],$$

$$a_t = \text{argmax} \left( \text{MLP} (z_t) \right).$$

Stage 2. The second stage is to decide the length of span to reduce if the REDUCE action is chosen in the first stage. We adopt an attention mechanism to decide the splitting position, whose key is the state representation vector $z_t$ and values are the vectors related to each token stored in the stack $S$:

$$r_{-i} = [e_{-i}; s_{-i}],$$

$$p = (p-K, \cdots, p-3, p-2)$$

$$= \text{Attn} \left( z_t, [r_{-K}, \cdots, r_{-3}, r_{-2}] \right),$$

where $K$ is the maximum length of the REDUCE operation, $e_{-i}$ is the vector of the penultimate $i$-th token in the stack, $s_{-i}$ is the corresponding state vector, Attn is the attention mechanism (dot attention in our experiments), and $p_{-i}$ is the probability of reducing a span with length $i$. Notice that if $K$ is larger than the length of $S$, we set $K = |S|$.
parts with corresponding predict LF representations. By comparing these predicted sub LFs with the ground truth LF, we can determine if the trajectory has a high probability of being correct, as well as possibly fix parsing mistakes, as the sub LF in red in Figure 4.

Trajectory search aims to search for global-high-probability trajectories. On finding possible trajectories during trajectory decoding, we adopt a back-tracing-based searching algorithm. When predicting a single action at each step, apart from the most possible operation decided by the shift-reduce splitter, we also add some operations with less probability into possible candidate trajectories. Specifically, if the predicted operation is a SHIFT, we also search a trajectory with this step being REDUCE if the probability of REDUCE is above a threshold \( \tau \); if a REDUCE operation is predicted, we search for the top 2 possible span length to reduce and add them to possible trajectory list. These trajectories are stored in a trajectory memory, which is later used for the training process of the base parser and shift-reduce splitter.

### 3.2 Base Parser Training

Having those searched trajectories stored in the memory, we first extract training pairs of NL spans and corresponding sub LFs from them. For an NL query with its corresponding trajectories, we compare the predicted sub LFs with the ground truth LF and fix possible mistakes of each sub LF. Concretely, we compare the predicted sub LFs in the ground-truth LF from both inside-out and outside-in directions, as the example shown in Figure 4. If the ground-truth LF matches exactly with a combination of all sub LFs, we assume this NL query is correctly parsed and the predictions from NL spans to sub LFs are fully correct. Otherwise, the left unmatched NL span and LF are formed as a span-level pair.

The base parser is trained with above span-level pairs of NL queries and sub LFs, as well as each NL query without splitting. Note that we use a hierarchical dynamic training procedure, i.e. spans are dynamically split out during training, so that the loss from outsider LF prediction can also influence the span encoding vector generated by insider span through back propagation. Besides, the supervised span-level training data for the base parser is changed during training iterations w.r.t. to the shift-reduce splitter and searched trajectories, so that the base parser training helps the parser to fit the splitting pattern of the shift-reduce splitter.

### 3.3 Shift-Reduce Splitter Training

The shift-reduce splitter is also trained with the searched trajectories. In this scenario, the selection of the best trajectory \( \hat{a} = (\hat{a}_1, \hat{a}_2, \ldots, \hat{a}_T) \) can be modeled as a latent variable. In an unsupervised setting where the ground-truth is not available, a maximum marginal likelihood (MML) estimation can be calculated. MML marginalizes the likelihood of each trajectory given the NL query \( w \) with respect to the splitter parameters \( \theta \).

\[
P(y|w; \theta) = \sum_{a \in \mathcal{A}} P(y|a) P(a|w; \theta) = \sum_{a \in \mathcal{A}} P(a|w; \theta),
\]

\[
J_{MML}(\theta|w, \mathcal{A}) = -\log \sum_{a \in \mathcal{A}} P(a|w; \theta), \tag{7}
\]

where \( \mathcal{A} \) denotes for all possible trajectories.

However, there are two major problems in the MML objective in training such a model. On the one hand, the searching space of possible action trajectories can be very large, which grows exponentially as sentence length grows. This issue makes it impossible to discover every possible trajectory. On the other hand, exploring only a subset of action trajectories cannot ensure the correct trajectory being selected, and the searching process is time consuming. In consideration of these drawbacks, we adopt a hard EM training strategy to train the model with only the most possible trajectory.

A heuristic ranking is applied for selecting the best trajectory. The principle of finding the best trajectory is to find the most possible and correct one for each NL query. To be specific, we categorize the parsing results of all trajectories into “correct”, “partially correct” and “wrong”. Then the trajectory with the best correctness of category and highest probability is selected as the most possible trajectory. In other words, if there are correctly parsed trajectories, we will select the most possible one from it; otherwise, we continue to select the most possible one from partially correct trajectories.

Having the searched most possible trajectory \( \hat{a} \), the model is optimized with a standard negative log likelihood objective with respect to \( \hat{a} \):

\[
J(\theta|w, \hat{a}) = -\log P(\hat{a}|w; \theta) = -\max_{i=1}^{T} \log P(\hat{a}_i|w; \theta). \tag{8}
\]

**Pretraining.** To solve the cold starting problem and boost the training process, both the base parser and the shift-reduce splitter need to be pre-trained. The base parser is pre-trained with a set of NL queries without splitting (e.g., simple queries from the training set), which ensures a basic ability of the parser to convert simple NL queries into correct LFs. Then, the pre-trained base parser is applied to find split points of each query. The principle of searching split points is that the predicted sub LFs of each split out NL span can be composed into the ground truth LF. Given each query’s split points, a trajectory can be produced, which is used as pseudo-data for the shift-reduce splitter pre-training. Note that an NL query can have no split point, which means the trajectory is filled with a list of SHIFT operation and a FIN operation at last.

### 4 Experiments

#### 4.1 Datasets

We conducted the experiments on two datasets, i.e. the Geoquery dataset and the Complex Web Questions (ComplexWQ) datasets. They have a large diversity in topic, query length, and type of LF representation, which challenges the flexibility and generality of our proposed LASP.

**Geoquery.** This dataset contains 880 NL queries about U.S. geography with the corresponding Functional Query Language (FunQL) meaning representations [Zettlemoyer and Collins, 2012]. 600 of them are split out as training set with the rest 280 examples as test set.

**ComplexWQ.** This dataset contains NL questions and their SPARQL logical forms on Freebase [Talmor and Berant, 2018]. All the questions in this dataset are much longer and more complex than the queries in the Geoquery dataset, and
require multi-hop reasoning to solve. ComplexWQ is preprocessed in the same way as [Zhang et al., 2019] to anonymize the entities in the SPARQL LF representations.

### 4.2 Implementation Details

The basic encoder-decoder model with attention is used for the base parser, each of whom has a single bi-directional GRU hidden layer with the hidden dimension being 512. For the shift-reduce splitter, we search the word embedding dimension in the range [50, 100, 300, 512], hidden size in the range [128, 256, 512] and select the best hyperparameters as marked in bold for experiments. For the ComplexWQ dataset, we leverage Stanford NLP to give POS tags to each token. During model processing, the POS tags are converted into tag embedding vectors with dimensions being 30, which is concatenated by the word embedding vector of each token as additional information. We do not adopt a more complicated base parser, since we focus on the structural compositionality of NLs and LFs in this paper. One of the advantages of our proposed LASP is to free the base parser from handling very complex NL queries. A complex query is divided into several shorter and easier parts, so that a simple seq2seq parser can handle most cases.

Geoquery and ComplexWQ adopt different pre-training strategies and sub LF representations based on their characteristics. On pre-training strategy, Geoquery dataset is relevant small with various NL query lengths, so we use the original training data to pre-train the base parser and use the pre-trained base parser to detect possible spans from complete NL queries. Those found spans are converted into trajectories and used for shift-reduce splitter pre-training. Unlike Geoquery, ComplexWQ is a synthetic dataset, where each NL query is composed of two simple queries. We randomly select 20% queries from the training set and split each query by decomposing it into the original two sub queries by heuristic rules and dump pseudo data for pre-training. Note that this heuristic splitting cannot ensure a high accuracy, which should be further fixed during model training. On sub LF representation, Geoquery uses the nested FunQL representation, where the return value of a sub LF becomes a sub part of the higher sub LF, and thus we use a "<placeholder>" to take the place of the inside sub LF in the outside LF. For ComplexWQ with SPARQL, simply connecting all predicted sub LFs can form the complete one.

### 4.3 Baseline Models

The simplest yet meaningful baseline of our model is a pure Seq2Seq model [Dong and Lapata, 2016], as we just add a shift-reduce splitting mechanism to it. Some common semantic parsing methods are also chosen as baseline models, including Seq2Tree [Dong and Lapata, 2016], Scanner [Cheng et al., 2017], Coarse2Fine [Dong and Lapata, 2018] and UNIMER [Guo et al., 2020]. Besides, for the Geoquery dataset, we add GNN model [Shaw et al., 2019] as a baseline model, which uses GNN to model the correlation between tokens and is one of the state-of-the-art parsers. For the ComplexWQ dataset, we also compare our method with HSP [Zhang et al., 2019], which decomposes NL queries but predicts LFs as a whole with a complex three-stage process.

### 4.4 Results

The parsing accuracies on Geoquery is shown in Table 1. Compared with previous compositional semantic parsers which require complex lexicon and syntax designing, our proposed LASP achieves higher performance even with a simple Seq2Seq semantic parser without BERT. In comparison with neural semantic parsers, LASP shows the highest accuracy among all methods except the GNN+BERT, which takes advantage of big pre-trained BERT. Similar observations appear on the ComplexWQ dataset, as shown in Table 2. Our model outperforms the state-of-the-art method HSP as well as other baseline models.

These results verify that our proposed LASP can efficiently split an NL query into spans according to its hierarchical structure, which matches with the hierarchical structure of the corresponding LF representation. Besides, by splitting a complex NL query into several spans, the requirement of parser’s capability is reduced so that a simple base parser can achieve state-of-the-art accuracies.

### 4.5 Closer Analysis

Recent studies pointed out that the compositionality of logical form matters more than that of NL queries, as generating a logical expression already seen in the training set does not exhibit the composition capabilities of the model [Herzig and Berant, 2020]. Bearing this principle in mind, we conduct another set of experiments to test the composition ability on logical forms of our proposed method. For the Geoquery dataset, We resplit it according to logical forms and set all NL queries related to the same logical form skeleton into either training set or test set. As shown in Table 3, by resplitting the
In this paper, we propose LASP, a latent shift-reduce parser for semantic parsing. LASP splits an NL query according to its hierarchical structure, which also aligns with the hierarchical structure of LF. LASP does not require span-level supervision for NL query splitting but uses supervised pseudo data generated during training by a trajectory search process. Experimental results show that LASP consistently improves the performance of different datasets with different LF types.

**5 Related Work**

Semantic Parsing has been a focused topic for a long time. Neural semantic parsing uses an encoder-decoder framework as the basic pipeline, which frees semantic parsing from heavy expert work, but these models lack the ability to solve complex NL queries [Lake, 2019; Keysers et al., 2020]. Compositional semantic parsing breaks an NL utterance into several sub spans, which are much easier to parse. However, the splitting operation requires for predefined lexicon and grammar [Zelle and Mooney, 1996; Zettlemoyer and Collins, 2012; Berant et al., 2013; Pasupat and Liang, 2015], or complex model designing and supervised training [Zhang et al., 2019; Herzig and Berant, 2020]. Our work strives to blend the strengths of compositional semantic parsing and neural semantic parsing.

On NL decomposition, dependency parsing and syntactic parsing explore possible solutions for decomposing an NL utterance into spans, but these spans are not suitable for semantic parsing [Nivre, 2003; McDonald et al., 2005]. The key challenge to task-specific NL decomposition is the lack of supervised data [Shen et al., 2019]. A common solution is to generate pseudo-data by heuristic rules or by data augmentation [Andreas, 2020]. However, the quality of rules impacts the overall performance greatly, and these rules are not extendable. Our proposed LASP is a latent model that finds the pseudo splitting supervision by the model itself.

**6 Conclusion**

In this paper, we propose LASP, a latent shift-reduce parser for semantic parsing. LASP splits an NL query into several spans dynamically and each span is correctly parsed into a sub logical form representation. An advantage of LASP is that it can support dynamic and arbitrary splitting of NL queries. LASP can decide whether an NL query needs to be decomposed, and into how many parts.

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