Declaration-based Prompt Tuning for Visual Question Answering

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Abstract

In recent years, the pre-training-then-fine-tuning paradigm has yielded immense success on a wide spectrum of cross-modal tasks, such as visual question answering (VQA), in which a visual-language (VL) model is first optimized via self-supervised task objectives, e.g., masked language modeling (MLM) and image-text matching (ITM), and then fine-tuned to adapt to downstream task (e.g., VQA) via a brand-new objective function, e.g., answer prediction. However, the inconsistency of the objective forms not only severely limits the generalization of pre-trained VL models to downstream tasks, but also requires a large amount of labeled data for fine-tuning. To alleviate the problem, we propose an innovative VL fine-tuning paradigm (named Declaration-based Prompt Tuning, abbreviated as DPT), which fine-tunes the model for downstream VQA using the pre-training objectives, boosting the effective adaptation of pre-trained models to the downstream task. Specifically, DPT reformulates the VQA task via (1) textual adaptation, which converts the given questions into declarative sentence form for prompt-tuning, and (2) task adaptation, which optimizes the objective function of VQA problem in the manner of pre-training phase. Experimental results on GQA dataset show that DPT outperforms the fine-tuned counterpart by a large margin regarding accuracy in both fully-supervised (2.68%) and zero-shot/few-shot (over 31%) settings. All the data and codes will be available to facilitate future research.

1 Introduction

Recently, large-scale vision-language pre-training has been an emerging topic in the multi-modal community, and delivered strong performance in numerous vision-language tasks [Yao et al., 2021; Li et al., 2020; Zhang et al., 2021; Chen et al., 2020; Lu et al., 2019; Su et al., 2019]. Typically, a commonly-used practice is to follow the pre-training-then-fine-tuning paradigm [Liu et al., 2021b], in which a generic [CLS] token is inserted as classification label. However, this paradigm heavily relies on lower-level features for decision making, which limits the expression of higher-level semantic information. This paper proposes a declarative sentence form for prompt-tuning, and optimizes the objective function of VQA problem in the manner of pre-training phase. Experimental results on GQA dataset show that DPT outperforms the fine-tuned counterpart by a large margin regarding accuracy in both fully-supervised (2.68%) and zero-shot/few-shot (over 31%) settings. All the data and codes will be available to facilitate future research.
where additional parameters are typically introduced. As a result, there exist great disparities in the task forms between pre-training and fine-tuning. This gap hinders the generalization of pre-trained VL models to downstream VQA task, which leads to suboptimal performance and a demand for large amount of labeled data for fine-tuning.

Inspired by the recent progress of vision-language pre-trained models (VL-PTMs) [Li et al., 2020; Zhang et al., 2021] and prompt tuning paradigms in cross-modal domain [Yao et al., 2021; Tsimpoukelli et al., 2021; Radford et al., 2021], in this paper we propose Declaration-based Prompt Tuning (DPT), a novel paradigm of fine-tuning VL-PTM for VQA problem. Our core insight is to reformulate the objective form of downstream VQA task into the format of pre-training phase, maximally mitigating the gap between two stages. To achieve this goal, we reformulate the VQA task from two aspects (refer to Figure 1(d)): (1) textual adaptation that converts the textual input (i.e., questions) into declarative sentence form, and (2) task adaptation that solves VQA by recovering the masked token from the declarative sentences, and selecting the one that best matches the image. In this way, answer prediction can be achieved via cloze-filling and image-text matching, imitating the behavior of MLM and ITM tasks in the pre-training phase.

By mitigating the gap between pre-training and fine-tuning, DPT enables strong performance over various VL models and VQA datasets in both fully-supervised and zero/few-shot settings. For example, with respect to the accuracy, our method achieves 2.68% absolute improvement in the fully-supervised setting, and 31.8%~37.4% absolute improvement in the zero-shot/few-shot settings in GQA evaluation. Furthermore, the generalization experiment on VQA v2.0 equipped with recently proposed VL models shows 0.45%~1.01% absolute improvement compared to the vanilla fine-tuning approach.

In summary, the main contributions are the following.

• We introduce Declaration-based Prompt Tuning (DPT), a novel fine-tuning paradigm that solves VQA via adapting downstream problem to pre-training task format. To the best of our knowledge, this is the first attempt in the prompt tuning using declaration sentences for visual question answering.

• We propose novel textual and task adaptation approaches to reformulate VQA into cloze-filling and image-text matching problems, i.e., MLM and ITM. The adapted models significantly outperform the fine-tuning counterparts in fully-supervised and few-shot settings.

• We conduct comprehensive experiments over various VL-PTMs and VQA datasets, which demonstrates the effectiveness and generalizability of DPT.

2 Related Work

2.1 Pre-trained Vision-language Models

Recently, there exists numerous work on training generic models for various downstream cross-modal tasks [Liu et al., 2021a], such as visual question answering (VQA) or image caption [Cho et al., 2021; Radford et al., 2021; Kim et al., 2021; Zhang et al., 2021; Li et al., 2020; Cheng et al., 2020; Tan and Bansal, 2019]. Typically, a commonly-used practice is to follow a paradigm from model pre-training to model fine-tuning. In specific, in pre-training stage, a BERT-like architecture [Devlin et al., 2018] is first built for pre-training in learning multi-modal representations via a variety of self-supervised tasks, for instance, a mask language model (MLM) task of recovering the masked textual tokens in the multi-modal context [Tan and Bansal, 2019; Li et al., 2020], or an image-text matching (ITM) task to verify the alignment of an image to a given text [Tan and Bansal, 2019; Zhang et al., 2021]. Next, in the fine-tuning stage, the pre-trained model is then fine-tuned to adapt to downstream tasks using totally different task-specific objectives, such as predicting the answer for the VQA task. In this work, instead of optimizing brand-new task objectives in the fine-tune stage, we attempt to reformulate VQA into the pre-training format, boosting the effective generalization of pre-trained VL models to the downstream task.

2.2 Cross-modal Prompt Tuning

Recently, prompt tuning has increasingly received attentions due to its powerful capability in keeping the optimization objectives of the pre-trained model and the downstream task consistent [Liu et al., 2021b; Radford et al., 2021; Yao et al., 2021; Tsimpoukelli et al., 2021], which enables pre-trained models generalize to downstream tasks with few/zero samples for fine-tuning. Indeed, there already exist many attempts on this topic, for example, [Radford et al., 2021; Zhou et al., 2021] make use of crafted templates and learnable continuous representations to reformulate the objective forms of downstream tasks. [Cho et al., 2021; Jin et al., 2021; Tsimpoukelli et al., 2021] take account of utilizing an unified text generation framework to uniformly optimize with auto-regressive objective. However, the fixed templates or predefined unified generation paradigm may be inadequacy in designing a suitable prompt model owing to the complex semantics in the given questions. To overcome the problem, in this paper we propose an innovative declaration-based prompt model, which exploits question-adaptive declarative sentence as prompt template so that the textual format for VQA task is more consistent with the pre-training phase, diminishing the textual gap between pre-train and fine-tune stages.

3 Methodology

In the following sections, we first present the problem statement of the VQA task (Section 3.1). Then, we describe our proposed DPT method (Section 3.2). The overall framework is depicted in Figure 2. Specifically, the image and question are converted into the input form and fed to the pre-trained VL model for multi-modal fusion, in which the declaration is typically introduced for prompt tuning. After that, the outputs of the model are exploited to perform the adapted MLM and ITM tasks for model fine-tuning and deciding the answer.

3.1 Preliminary

In this paper, we follow the problem definition in [Agrawal et al., 2015], and thus the VQA problem is formulated as a
3.2 Declaration-based Prompt Tuning

To facilitate the generalization of pre-trained VL models to downstream VQA tasks, we propose a declaration-based prompt tuning (DPT) paradigm that reformulates VQA into pre-training task format. As illustrated in Figure 1(b-d), there exist two challenges, i.e., different forms of textual input (question vs. declaration) and different task objectives (MLM & ITM vs. answer classification). To address these issues, we present (1) **Textual Adaptation** module to convert questions into their corresponding declarative sentences, and (2) **Task Adaptation** module to reformulate answer prediction into MLM and ITM tasks. The two adapted tasks are combined to decide the final answer.

Textual Adaptation via Declaration Generation

Textual adaptation aims to convert the textual input (i.e., questions) into the pre-training form (i.e., declarative sentences), e.g., the declaration form of “What is the red object left of the girl?” is “A red [MASK] is left of the girl.”. To this end, we introduce declaration generation which formulates this procedure as a translation problem, where the source and target texts are question and corresponding declaration, respectively. Formally, we first construct a declaration dataset using the annotations from GQA dataset [Hudson and Manning, 2019a], where the “fullAnswer” is regarded as the declaration and the short answer word/phrase in “fullAnswer” is replaced with a [MASK] token. Then, an encoder-decoder network (T5 [Raffel et al., 2019]) is trained on this dataset and optimized using the standard auto-regressive cross-entropy loss. Finally, the model can be used to convert questions into declarative sentences for various VQA datasets, e.g., GQA [Hudson and Manning, 2019a] and VQA [Agrawal et al., 2015]. More details are provided in Section 4.1 and Appendix.

Task Adaptation

Equipped with declarative sentences, VQA can be reformulated into pre-training task format, i.e., MLM and ITM. The adaptations mainly involve two aspects: textual input format and task objectives. Specifically, MLM reserves a [MASK] token in the textual input, and predicts the answer via multi-class classification. ITM replaces [MASK] with the top-k candidate answers predicted from MLM, and predicts the matching scores using binary classification.

Adaptation to MLM task. To reformulate VQA into MLM task, the question and declaration sentence are concatenated to form as the textual input:

$$\mathcal{I}^{MLM}(Q) = [CLS] \ Q \ \text{Answer:} \ [SEP]$$

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Figure 2: The framework of our proposed DPT method. Questions are converted into declarations which are concatenated to form as textual input and fed to the pre-trained VL model along with the regional features. The output [MASK] and [CLS] representations will prompt the model to predict the answer scores or (image-text) matching scores.
where $\mathcal{T}^{MLM}$ represents the conversion function that converts the question $Q$ to the input format. $D$ denotes the declaration sentence. In Equation (1), we reserve the question in the textual input, because we find declaration sentence alone drops performance due to the lack of reasoning contexts (refer to Appendix for details). It’s worth noting that $D$ reserves a [MASK] token, e.g., a red [MASK] is left of the girl. In this way, the model is prompted to decide the token to fill in the mask, which exactly indicates the answer word/phrase.

On the basis of the adapted textual input, a pre-trained VL model is exploited to fuse the text and image features, producing a set of hidden representations. The outputs from [CLS] and [MASK] tokens (i.e., $h_{[CLS]}$ and $h_{[MASK]}$) are concatenated to predict the answer:

$$s_{ans} = MLP_{MLM}([h_{[CLS]}; h_{[MASK]}])$$ (2)

$$p_1(a = a_i | Q, D, I) = \frac{\exp(s_{i}^{ans})}{\sum_{j=0}^{|C|} \exp(s_{j}^{ans})}$$ (3)

where $s_{ans} \in \mathbb{R}^{|C|}$ denotes the scores over the answer set $C$. The model is optimized using cross-entropy loss, defined as:

$$\mathcal{L}_{MLM}^{VQA} = -\mathbb{E}_D \log p_1(\hat{a}^{gt}|Q, D, I)$$ (4)

where $\hat{a}^{gt}$ is the ground-truth answer. $D$ denotes the VQA dataset.

**Adaptation to ITM task.** To reformulate VQA into ITM task, the [MASK] token in the declaration sentence $D$ is replaced by the top-k answers $\{a_0, \hat{a}_1, ..., \hat{a}_{K-1}\}$ predicted from Equation (2), resulting in $K$ candidate declarations:

$$\{D_{0}^{ans}, D_{1}^{ans}, ..., D_{K-1}^{ans}\}$$ (5)

Based on the candidates, the textual input can be formed via concatenation of the question $Q$ and the declaration sentence $D_{k}^{ans}$, defined as follows:

$$\mathcal{T}^{ITM}(Q) = [CLS] Q \text{ Answer: } D_{k}^{ans} \text{ [SEP]}$$ (6)

where $\mathcal{T}^{ITM}$ represents the conversion function. $D_{k}^{ans}$ denotes the declaration sentence, in which the [MASK] token is replaced by the $k$-th candidate answer $\hat{a}_k$, e.g., a red tray/food/cloth is left of the girl.

In this way, pre-trained VL models are prompted to determine whether the image-text is matched. To achieve this, the image and textual inputs are fed to the VL model, and the outputs from [CLS] and answer token (i.e., $h_{[CLS]}$ and $h_{\hat{a}_k}$) are concatenated to predict the matching score:

$$s_{k}^{mat} = MLP_{ITM}([h_{[CLS]}; h_{\hat{a}_k}])$$ (7)

$$p_2(a = \hat{a}_k | Q, D_{k}^{ans}, I) = \text{sigmoid}(s_{k}^{mat})$$ (8)

where $s_{k}^{mat}$ denotes the matching score of the image and the $k$-th candidate answer. Intuitively, the image-text pair with ground-truth answer should have higher matching score. Therefore, the model is optimized using binary cross-entropy loss, defined as follows:

$$y_k = \mathbb{I}[\hat{a}_k = \hat{a}^{gt}]$$ (9)

$$\mathcal{L}_{ITM}^{VQA} = -\mathbb{E}_D \frac{1}{K} \sum_{k=0}^{K-1} [y_k \log p_2(\hat{a}_k) + (1 - y_k) \log (1 - p_2(\hat{a}_k))]$$ (10)

where $\mathbb{I}[x]: X \rightarrow \{0, 1\}$ denotes the indicator function, which takes value 1 if $x$ is positive and zero otherwise.

**Training and inference.** On the top of task adaptation, VQA has been reformulated into MLM and ITM problems. During training, we integrate the loss terms from Eq. (4) and (9) to fine-tune VL models. The total loss of DPT is defined as:

$$\mathcal{L}_{DPT} = \mathcal{L}_{MLM}^{VQA} + \mathcal{L}_{ITM}^{VQA}$$ (11)

During inference, the normalized scores predicted by MLM and ITM are combined via simple summation, and the answer $\hat{a}$ with the highest score is chosen as the final prediction result, defined as follows:

$$\hat{a} = \text{argmax}_{\hat{a} \in \hat{a}_0^{K-1}} (p_1(\hat{a}) + p_2(\hat{a}))$$ (12)

**Zero-shot and few-shot learning.** Equipped with DPT, previous pre-trained VL models can also be easily transformed for zero-shot or few-shot learning based VQA tasks, only if reformulating Equation (2) and (7) into the same form as the one in pre-trained phrase, and is initialized with the pre-trained weights, which can be rewritten as follows,

$$s_{ans} = MLP_{MLP}^{gt}(h_{[MASK]}),$$ (13)

$$s_{k}^{mat} = MLP_{ITM}^{gt}(h_{[CLS]}),$$ (14)

where $MLP^{gt}$ denotes the MLP layer initialized with pre-trained weights. Since the number of answers is less than that of vocabulary tokens, only the weights corresponding to answer words are taken to initialize $MLP_{MLM}^{gt}$.

4 Experiments

4.1 Implementation Details

**Datasets.** GQA [Hudson and Manning, 2019a] and VQA v2.0 [Agrawal et al., 2015] are used to build declaration generation dataset and evaluate our proposed methods on VQA task. More details are provided in the Appendix.

**Model training.** T5-small [Raffel et al., 2019] is chosen for declaration generation. As for VQA, VinVL [Zhang et al., 2021] is selected as our base architecture. Our proposed DPT is applied to VinVL via textual and task adaptation. The model is fine-tuned using the adapted task objectives, resulting in two variants regarding the tasks for training, i.e., DPT(MLM) and DPT(MLM&ITM). The number of answers used for ITM $K$ is set to 8. For fair comparison, we follow the same training settings as reported in the previous works in the following experiments. The details of hyper-parameters are reported in Appendix.

4.2 Experimental Results

For online evaluation of GQA dataset, we compare our method with the state-of-the-art models, including non-pretrained models i.e., MMN [Chen et al., 2021], NSM [Hudson and Manning, 2019b], and pre-trained VL models i.e., LXMERT [Tan and Bansal, 2019], VILLA [Gan et al., 2020], OSCAR [Li et al., 2020], VinVL [Zhang et al., 2021], MDETR [Kamath et al., 2021], VL-T5 [Cho et al., 2021]. The results are reported in Table 1. When only exploiting balanced split for training, our method achieves 63.55%
and 63.57% overall accuracy on test-dev and test-std, respectively, outperforming the state-of-the-art non-pretrained/pre-trained models. Specifically, our method (DPT) surpasses the fine-tuned counterpart (VinVL_{bal}) by a significant margin of 2.68% on test-std. When using all split to bootstrap our model similar to Chen et al., 2021; Zhang et al., 2021, our method (DPT) still ranks the top regarding overall accuracy, and outperforms the counterpart (VinVL) by 0.27% on test-std. Among the compared models, MMN and NSM also achieve competitive results even if no pre-training is performed, which is thanks to the usage of deliberately generated scene graphs or supervision of execution programs.

### 4.3 Ablation Study

For a deeper understanding of DPT, we further conduct the ablation studies on the local validation split of GQA and VQA v2.0 datasets (testdev on GQA and val on VQA v2.0).

#### Different prompts.

To illustrate the effectiveness of declarative sentences for prompt tuning, several prompt variants are proposed for comparison in Table 2, defined as follows:

- **Base**: Vanilla fine-tuning VinVL [Zhang et al., 2021] without prompt.
- **Fixed**: “Answer: [MASK]”.
- **Dynamic**: “Answer: [V1] [V2] ... [V16] [MASK]”.
- **Declare (Ours)**: “Answer: [DECLARATION]”.

where ‘[V1]’-’[V16]’ denote the learnable tokens which are jointly trained during fine-tuning. As Table 2 shows, on GQA dataset, our proposed declaration-based prompt is more effective than manually designed templates (i.e., Fixed and Dynamic). For example, DPT with MLM task (row 5) surpasses the Fixed and Dynamic with 1.83% and 0.62%, respectively. Equipped with both MLM and ITM tasks, our full model (row 6) surpasses Baseline by 2.87%. To measure the confidence of the results, we have performed additional 3 runs for our best-performing model on GQA and VQA v2.0 datasets, getting standard deviations of 0.10% and 0.06%, respectively.

#### Generalizability over different VL models.

To illustrate the generalizability of our proposed method over different pre-trained VL models, we apply our DPT to the recently proposed VL models that have been pre-trained via MLM and ITM tasks, e.g., UNITER [Chen et al., 2020] and ViLT [Kim et al., 2021]. As shown in Table 3, for all the three baselines, equipped with our DPT method, a consistent performance improvement (0.64% on average) can be observed. For example, ViLT+DPT and UNITER+DPT achieve absolute performance gains of 0.46% and 1.01% compared with the fine-tuning counterparts, respectively.

#### Accuracy over different question types.

Figure 3 shows the accuracy breakdown on different question semantic types. It can be observed that the adapted MLM task achieves large accuracy improvement in attribute questions against Baseline (70.46% vs. 64.87%). This shows the strength of declaration-based prompt in capturing the object attributes. Moreover, the adapted ITM task brings more performance improvement in...
global questions (59.24% vs. 56.69%), indicating its superior ability in the understanding of global semantics.

4.4 Zero-shot and Few-shot Results

Figure 4 shows the accuracy in zero-shot and few-shot settings on GQA dataset. We remove yes/no questions in the sampled splits in advance since the large proportion of yes/no questions (18.81% and 17.47% questions have yes and no answers, respectively) will cause large variance (∼8%) in Baseline evaluation. As shown in Figure 4, it can be observed that DPT outperforms the vanilla fine-tuning counterparts and other prompt variants (i.e., Mask and Dynamic) by a significant margin. For example, with no samples for training, our DPT achieves a strong accuracy of 36.6% while the fine-tuning counterpart can not predict correct answers due to random guessing. When provided 1~128 samples, our DPT method achieves 31.8%~37.4% absolute accuracy improvement compared to Baseline.

4.5 Case Study

In Figure 5, we visualize two successful cases from our proposed DPT method. Regarding the first case, the baseline yields almost the same probabilities for ‘left’ and ‘right’, indicates its weakness in solving such direction-related questions. In contrast, equipped with the ability of masked language model, our DPT confidently predicts the correct answer ‘right’. As for the second case, the baseline model and DPT(MLM) both wrongly predict the answer ‘child’ mainly attributable to ‘child’ being a more frequent object that occurs in the train set. Besides, ‘child’ is a hypernym of ‘girl’ and ‘boy’, making it a universal answer to many questions. On the contrary, DPT with the adapted ITM task takes account of the semantics of answers, and gives a higher score to the answer ‘girl’, leading to the correct answer.

5 Conclusion

We propose to reformulate the VQA task into masked language model (MLM) and image-text matching (ITM) problems, maximally mitigating the gap between vision-language (VL) pre-training and fine-tuning stages. To achieve this, we first convert questions into declarative sentences with reserved [MASK] or candidate answers, mitigating the discrepancies regarding the textual input. Then, VQA problem is reformulated into pre-training format via task adaptation, which solves VQA in the manner of MLM and ITM tasks. Extensive experiments on two benchmarks validate the effectiveness and generalizability of our proposed DPT paradigm over different pre-trained VL models in both fully-supervised and zero-shot/few-shot settings.

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